



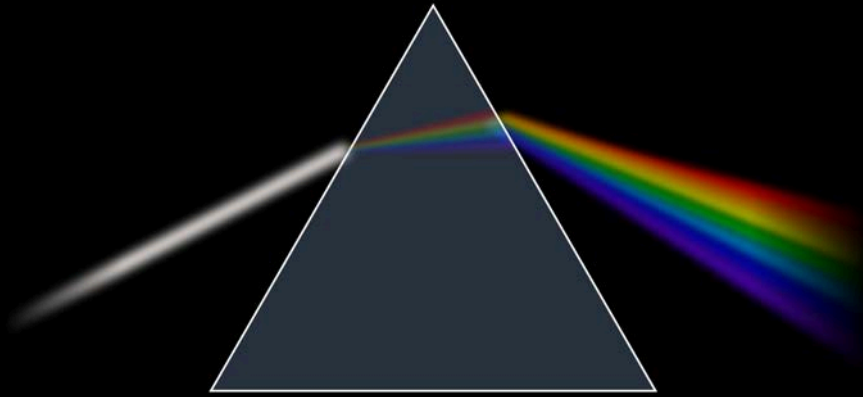
# Compact Snapshot Hyperspectral Imaging with Diffracted Rotation

Daniel S. Jeon<sup>†</sup> Seung-Hwan Baek<sup>†</sup> Shinyoung Yi<sup>†</sup>

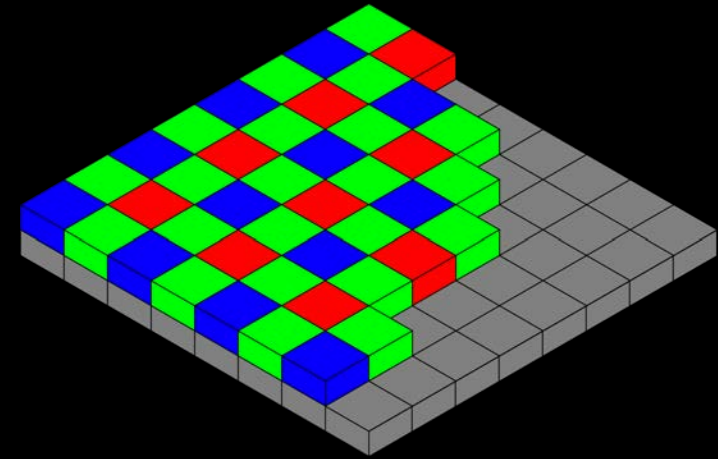
Qiang Fu\* Xiong Dun\* Wolfgang Heidrich\* Min H. Kim<sup>†</sup>



# Light and Color Imaging

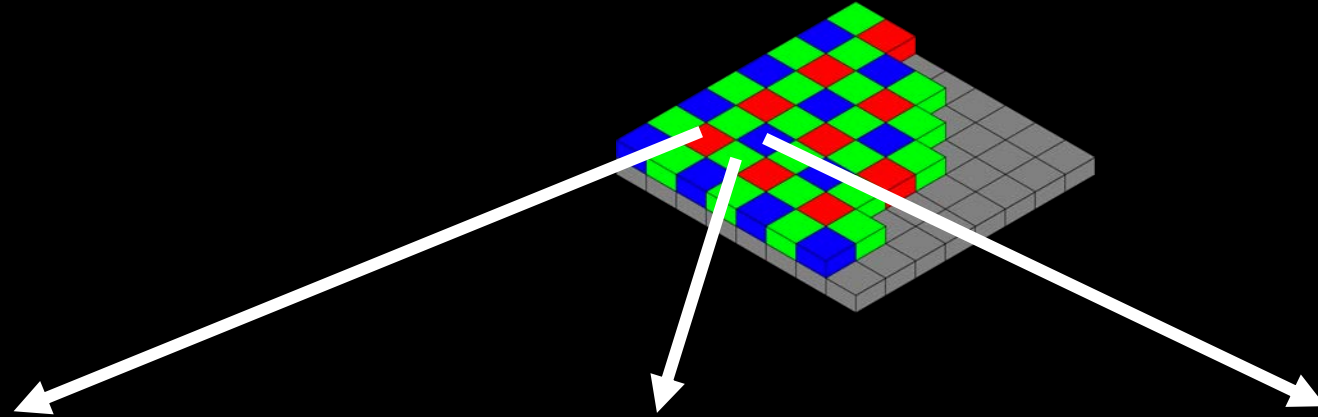


Continuous spectra of light



Bayer pattern

# Conventional RGB Camera



Red

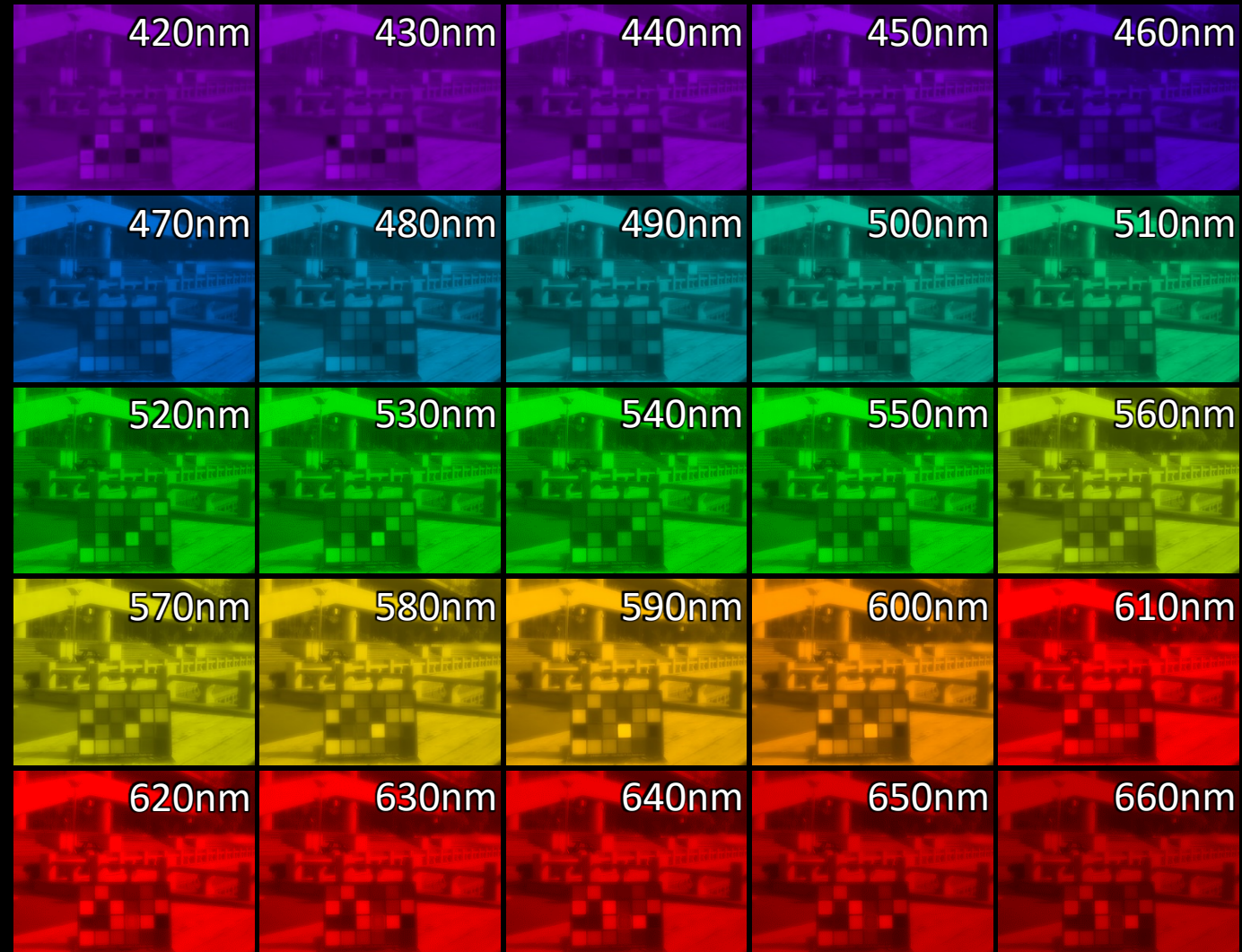


Green



Blue

# Hyperspectral Imaging



Wavelength: 420nm – 660nm

# Goal



Single RGB input



Our algorithm



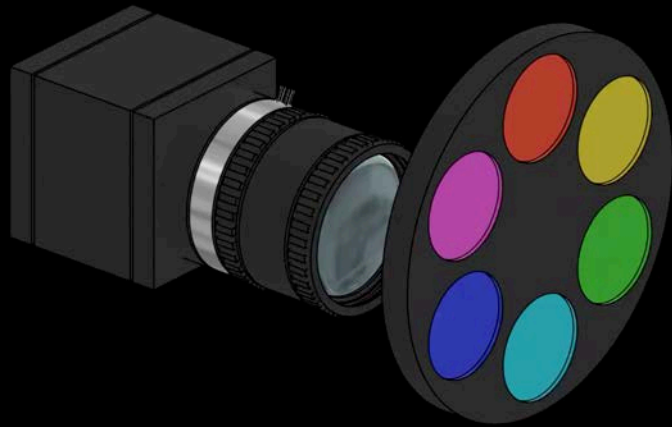
Hyperspectral image



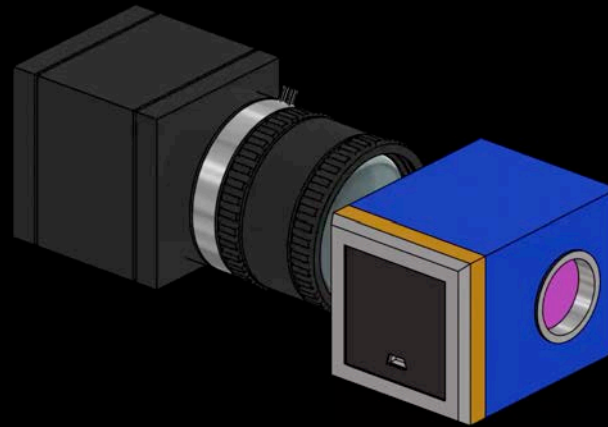


# Related Work: Multi-shot Hyperspectral Imaging

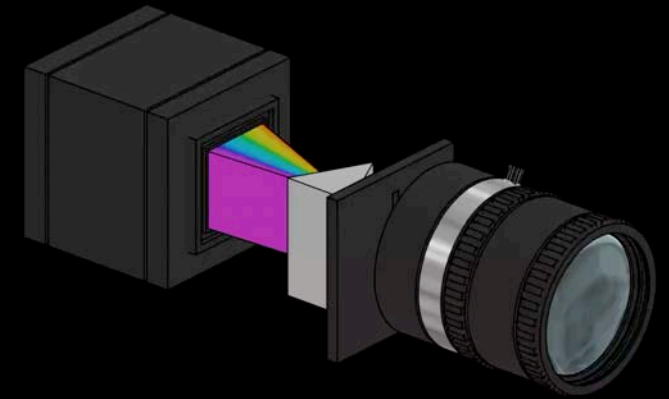
- Traditional hyperspectral camera requires multiple captures



Bandpass filter



LCTF (liquid crystal tunable filter)



Pushbroom  
(line scanning)

Unable to capture dynamic scenes

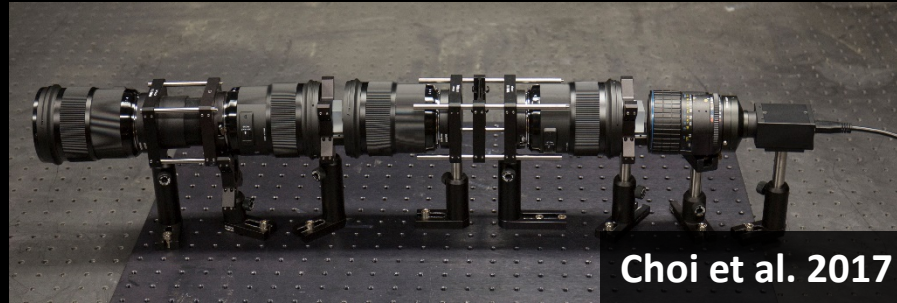


# Related Work: Single-shot Hyperspectral Imaging

- Recently, **single-shot** hyperspectral cameras have been introduced



**Computed Tomography  
Imaging Spectroscopy (CTIS)**



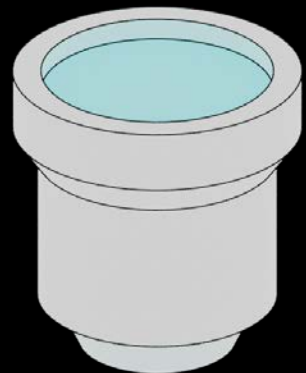
**Compressive Coded  
Aperture Spectral Imaging (CASSI)**



**Prism-Mask Multispectral  
Video Imaging System (PMVIS)**

**Too large form factor**

# Goal



Objective lens



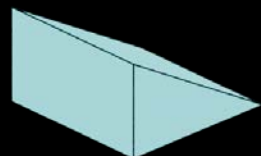
Relay lenses



Snapshot hyperspectral imager



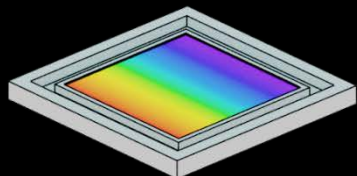
Coded aperture



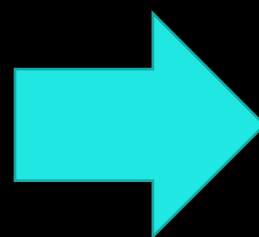
Dispersive element



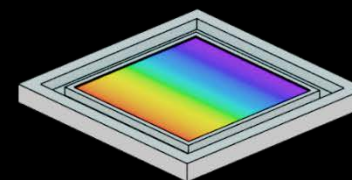
Imaging lens



Sensor



Diffractive optical element (DOE)



Sensor







# Diffractive Optical Element (DOE)

**Convex lens**



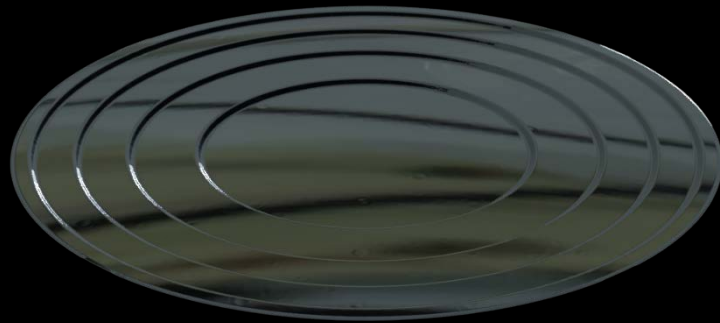
**DOE**



	<b>Convex lens</b>	<b>DOE</b>
Control light field	Refraction	<b>Diffraction</b>
Structure	Macro structure	<b>Micro structure</b>
Form factor	Thick	<b>Flat</b>
Design custom PSF	Limited	<b>Various PSF designed</b>

# Fresnel Lens

- Fresnel lens has been used commonly for various imaging applications



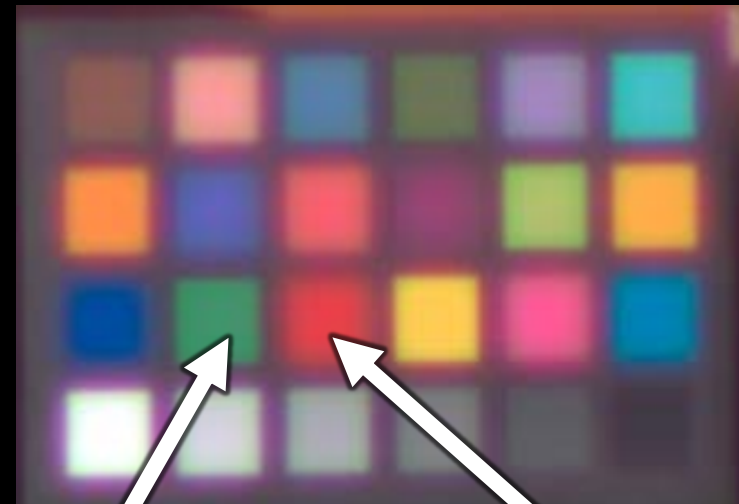
# Limitation of Existing Fresnel Lens



Original scene



Captured by Fresnel lens



Focused

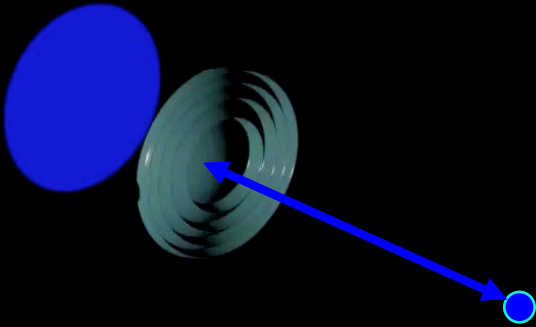
Defocused

Due to chromatic aberration

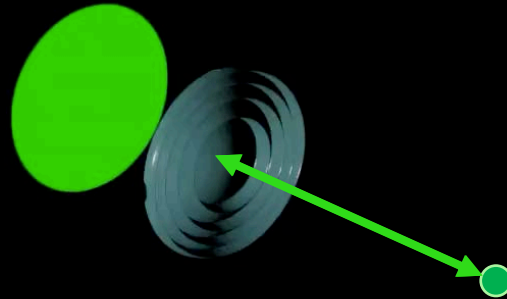
# Fresnel Propagation with Different Wavelength



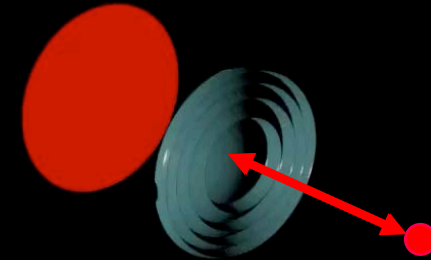
450nm



550nm



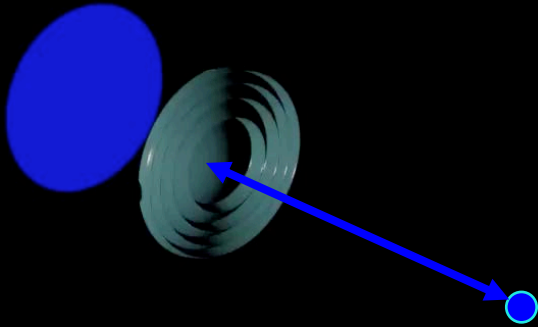
650nm



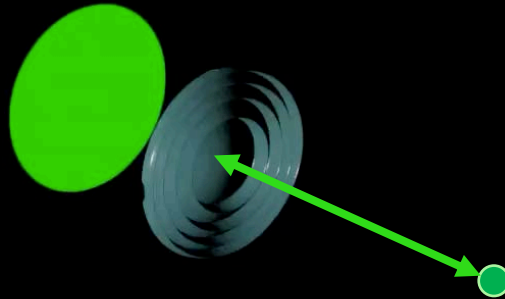
# Fresnel Propagation with Different Wavelength



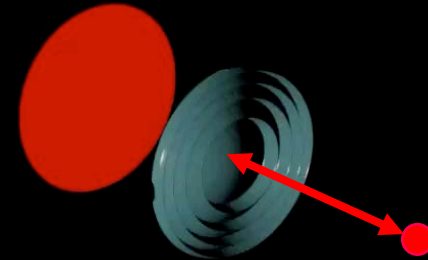
450nm



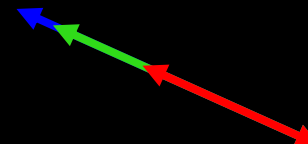
550nm



650nm



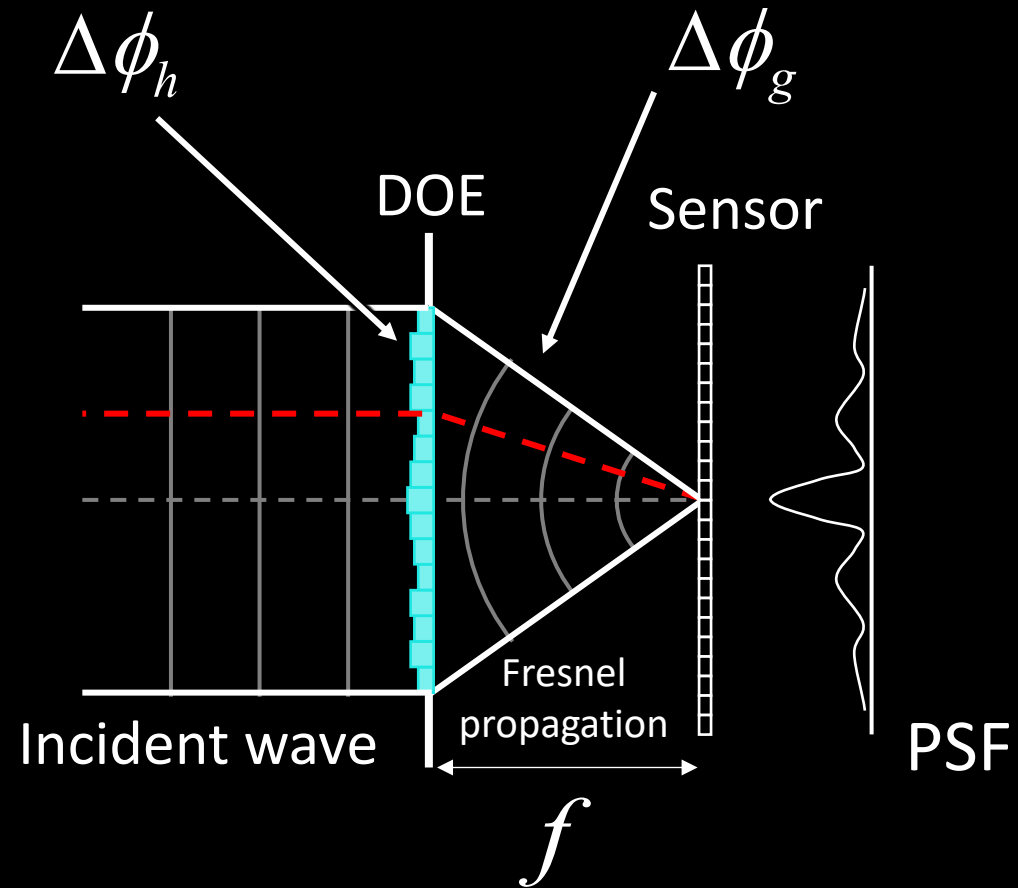
Focal length difference:



Blue > Green > Red

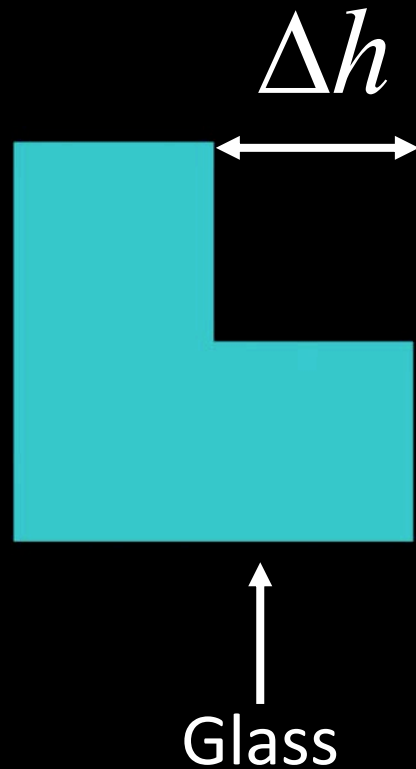
# Our DOE: Phase Shift by

## Medium + Propagation





# Our DOE: Phase Shift by Medium $\Delta\phi_h$



Path difference

$$\Delta\phi_h = 2\pi \frac{\Delta\eta_\lambda \Delta h}{\lambda}$$

$c$  : light speed

$\eta$  : refractive index

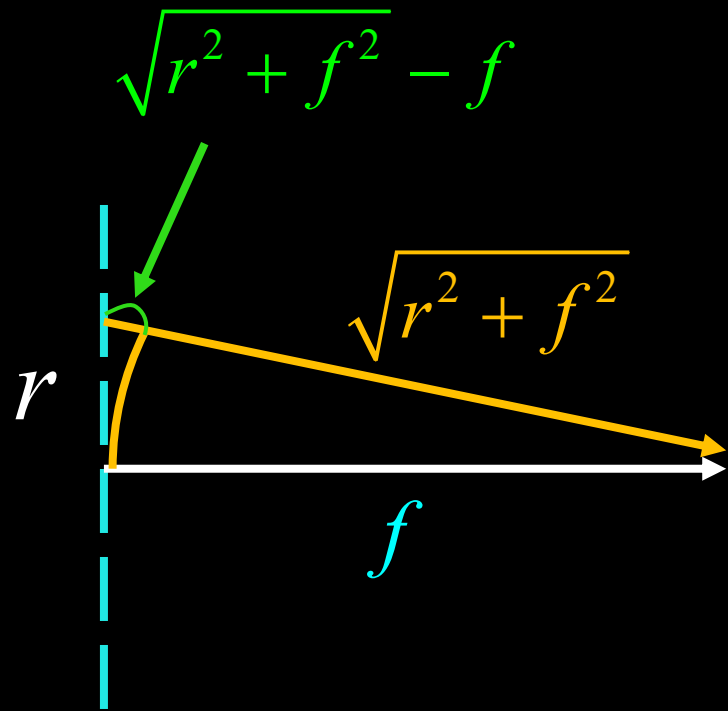
$\Delta\eta_\lambda$  : refractive index difference

$\Delta h$  : height difference

$\Delta\phi_h$  : phase shift by height



# Our DOE: Phase Shift by Propagation $\Delta\phi_g$



Phase at radius  $r$

Path difference

$$\Delta\phi_g = 2\pi \frac{\sqrt{r^2 + f^2} - f}{\lambda}$$

$\lambda$  : target wavelength

$f$  : target focal length



# Constructive Interference Condition



$$\Delta\phi_h + \Delta\phi_g = 2\pi n$$

where  $n$  is some integer

# Constructive Interference Condition



$$2\pi \frac{\Delta n \lambda \boxed{\Delta h}}{\lambda} + 2\pi \frac{\sqrt{r^2 + f^2} - f}{\lambda} = 2\pi n$$

where  $n$  is some integer

# Height Equation

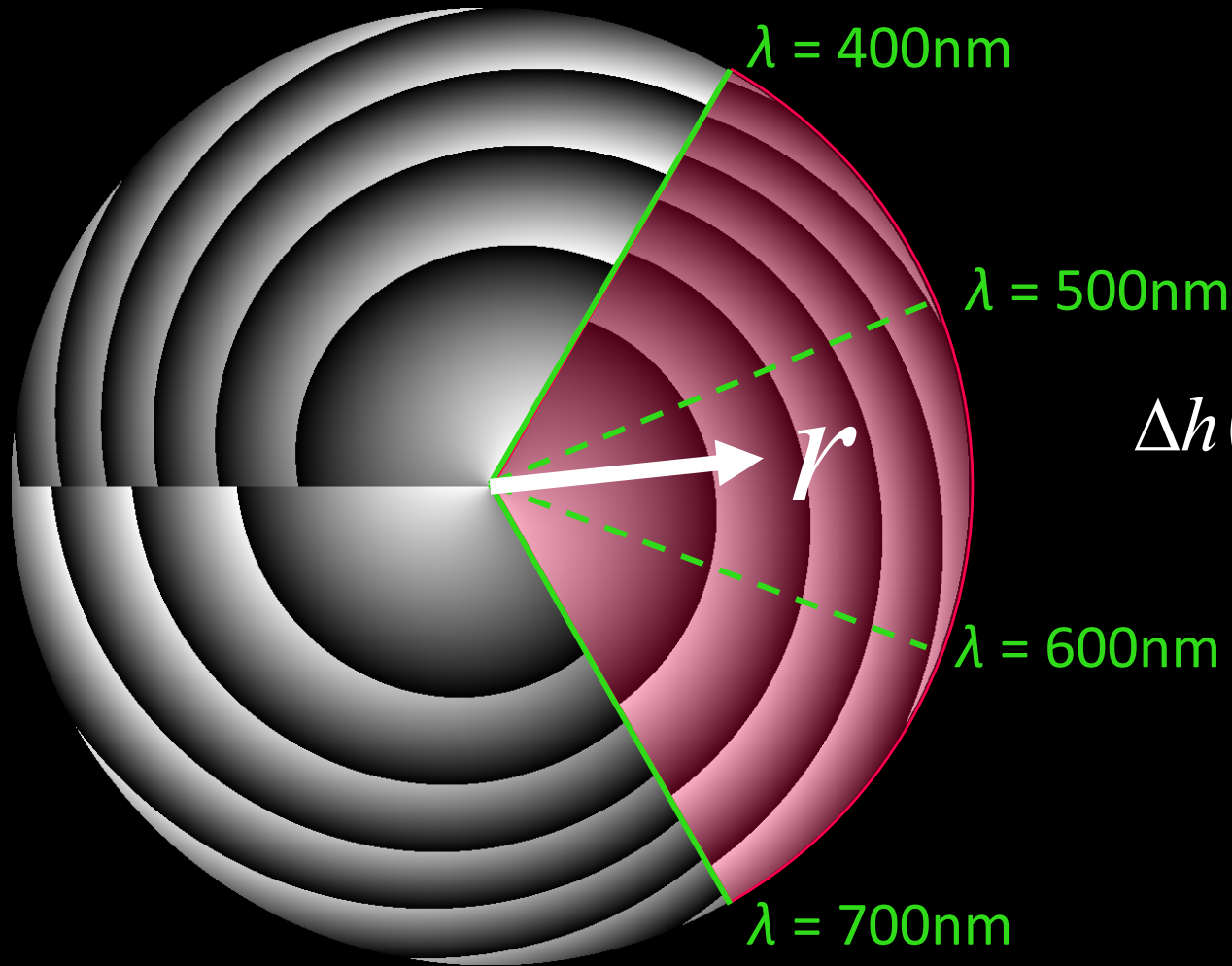


$$\Delta h = \frac{n\lambda - \left( \sqrt{r^2 + f^2} - f \right)}{\Delta\eta_\lambda}$$

where  $n$  is some integer

$$-\frac{\lambda_{\max}}{\Delta\eta_{\lambda_{\max}}} \leq \Delta h \leq 0$$

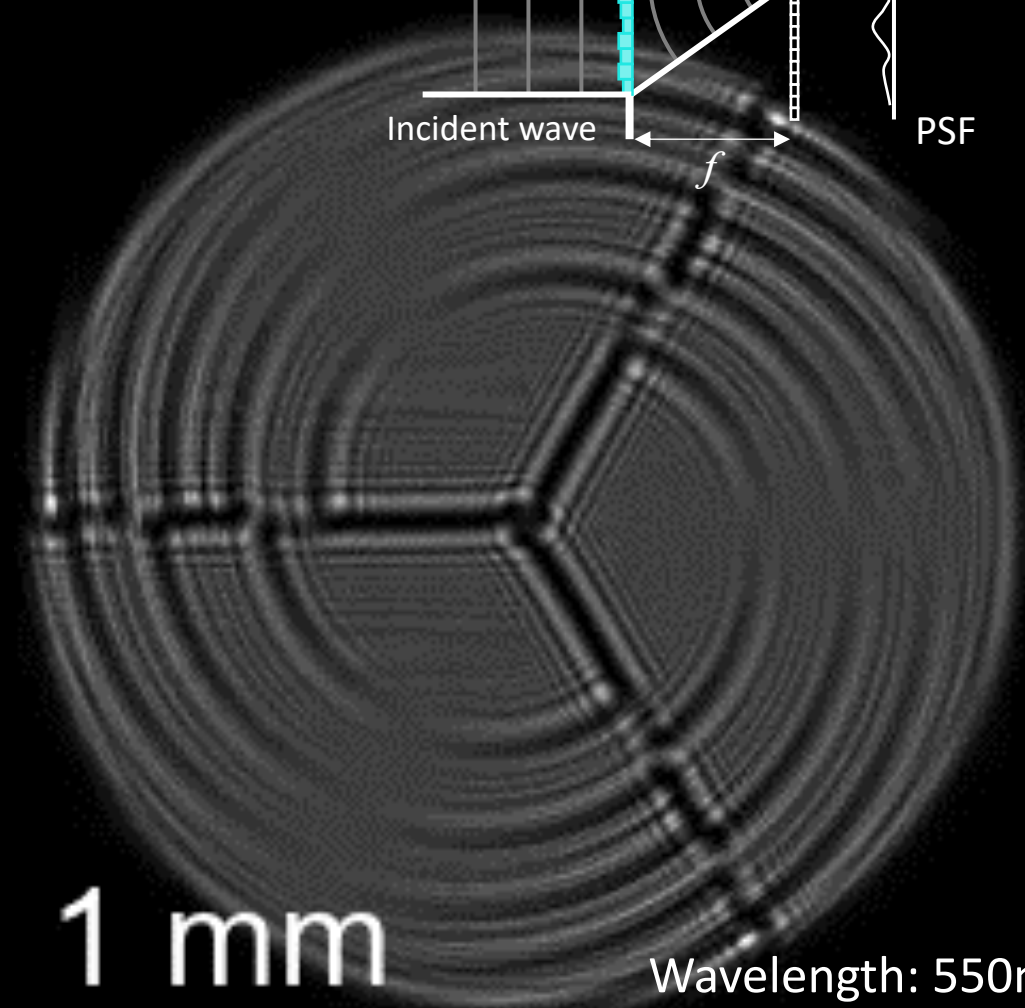
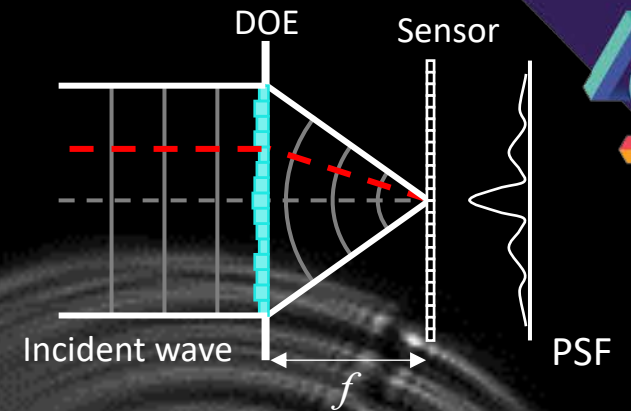
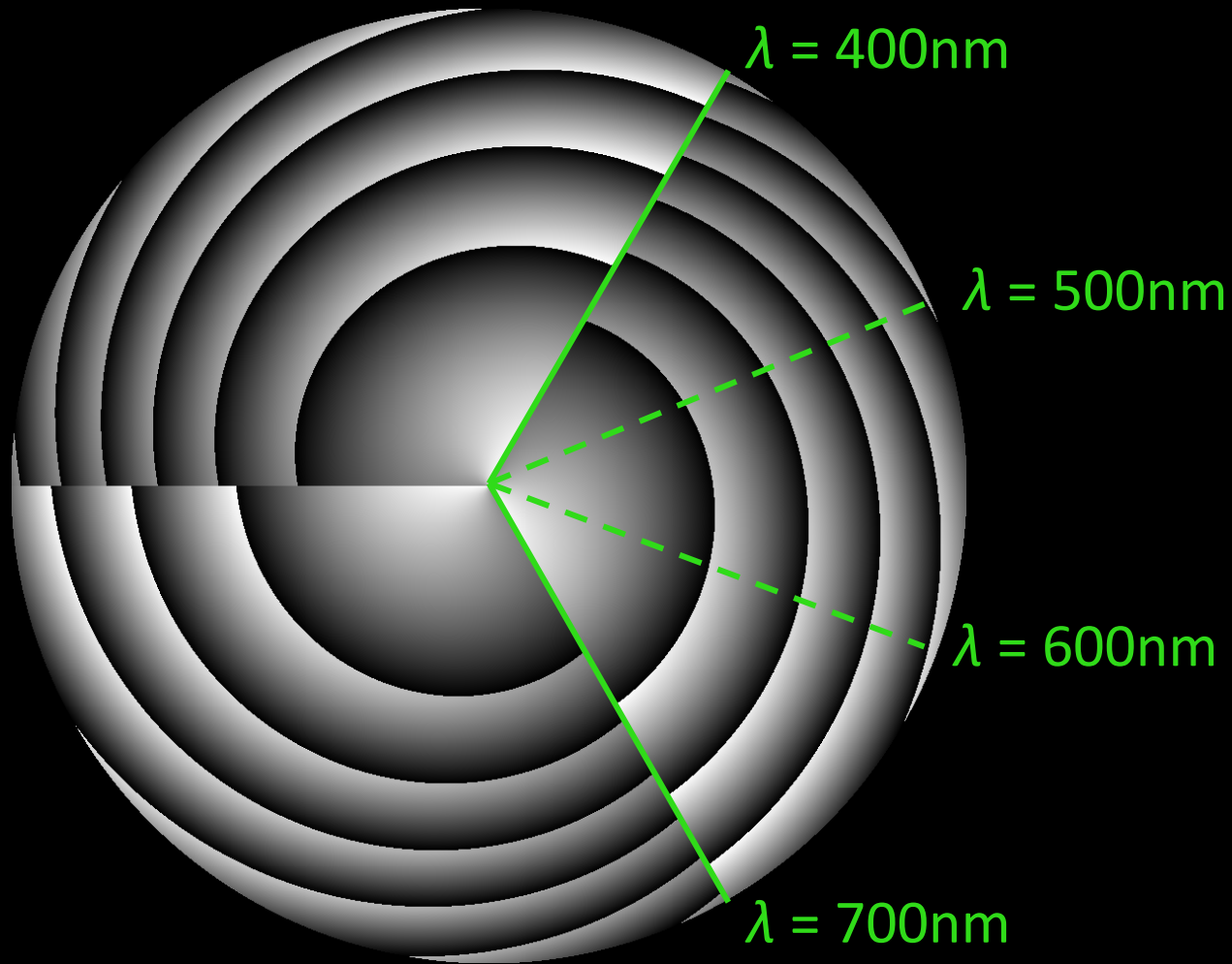
# Our Design of the DOE Height Field



$$\Delta h(r, \lambda(\theta)) = \frac{n\lambda(\theta) - \left(\sqrt{r^2 + f^2} - f\right)}{\Delta\eta_\lambda}$$

where  $0^\circ \leq \theta < 120^\circ$ ,  $f$  is fixed

# Our DOE Light Propagation

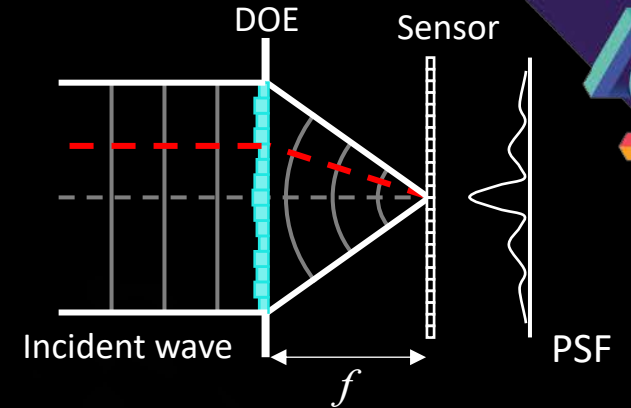
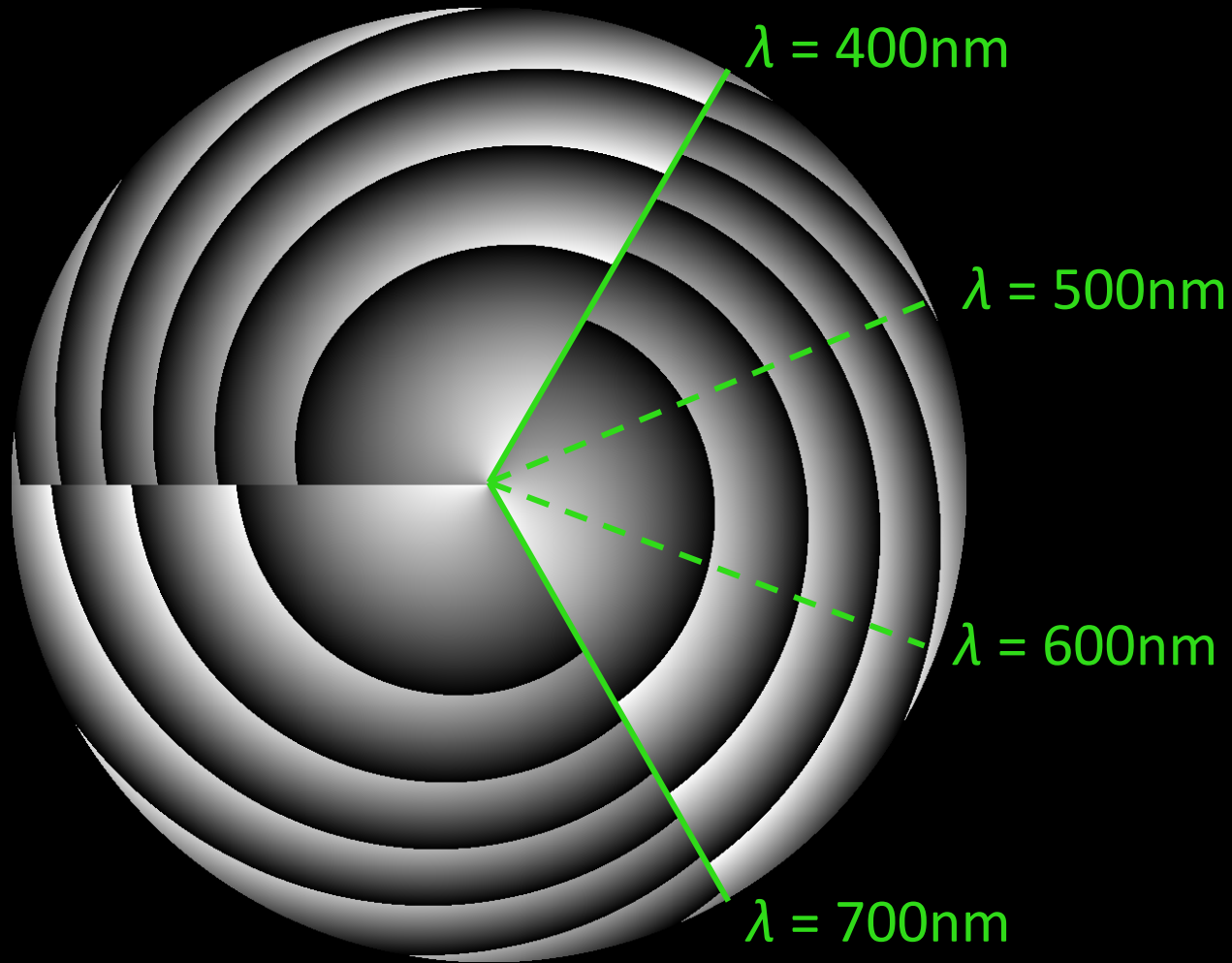


1 mm

Wavelength: 550nm  
21

Image plane distance

# Our DOE Point Spread Function



50 mm

Image plane distance

Wavelength: 550nm

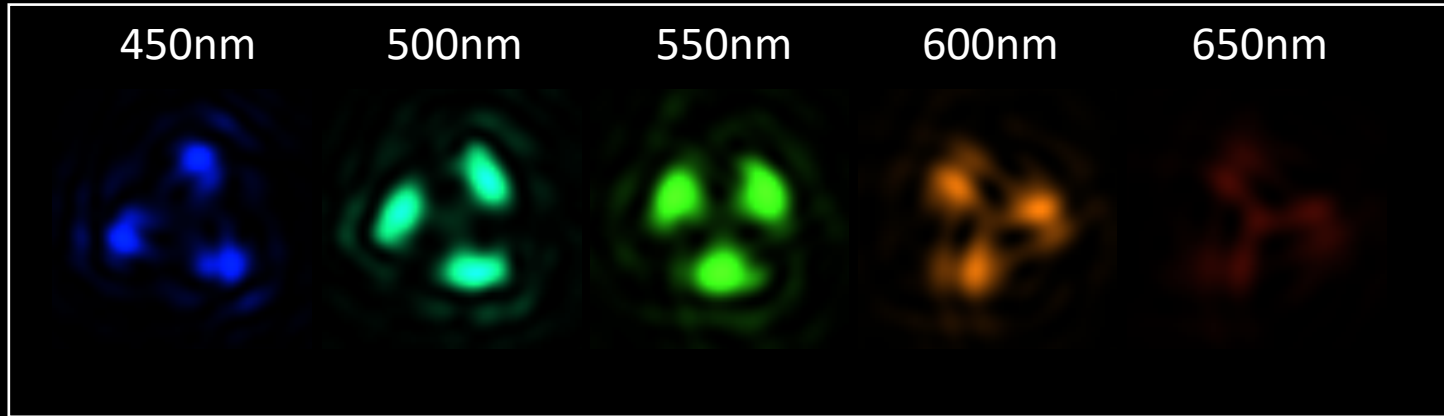
22





# Our DOE: Spectrally-Varying PSF

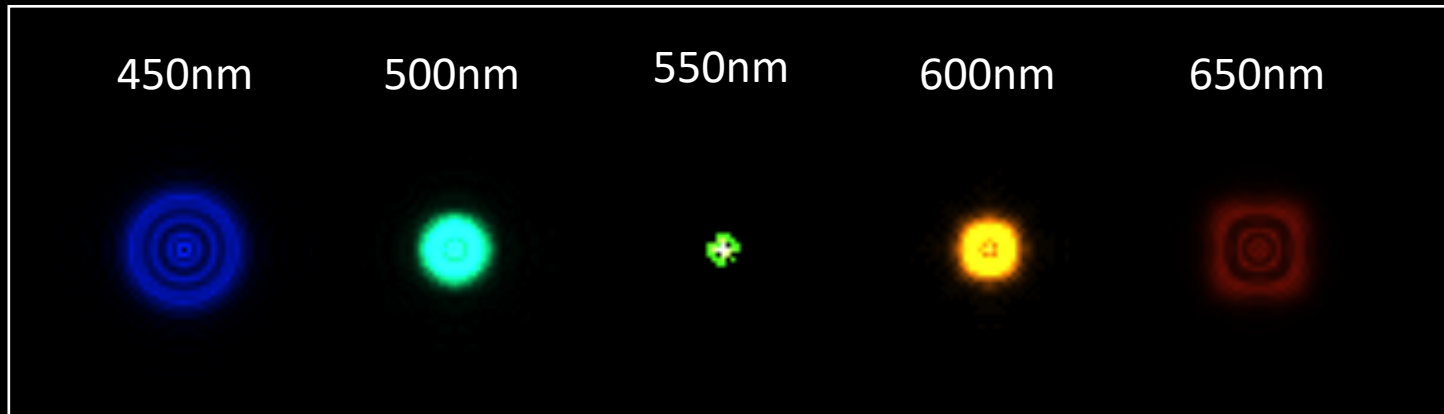
Our DOE



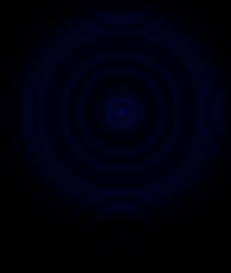
420nm



Fresnel DOE



420nm



\* (DOE simulation spec.) 1um xy-resolution, 100nm height resolution in 16 steps

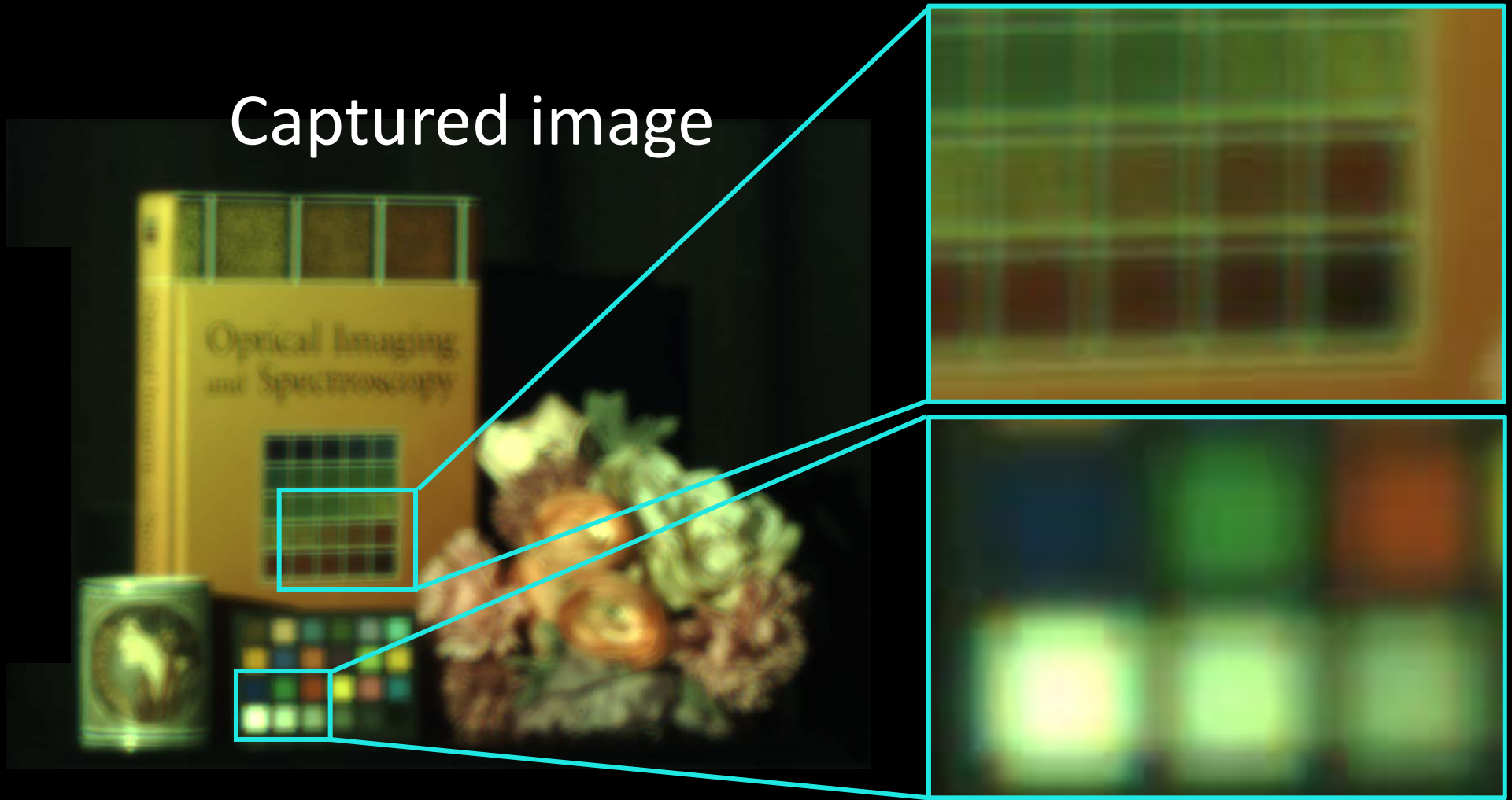
# Our DOE: Spectrally-Varying PSF



Captured image

Our PSF

420nm



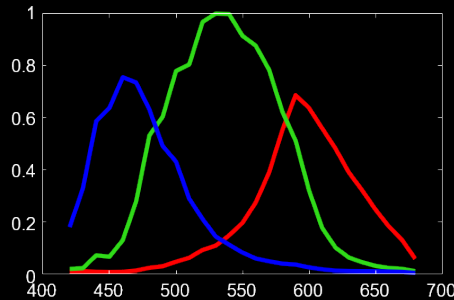




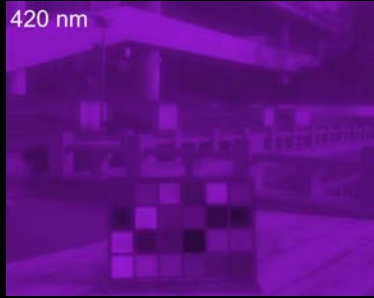
# Hyperspectral Imaging Formulation



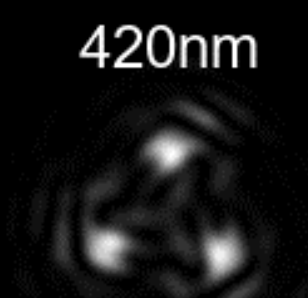
Input RGB image



Camera function



Hyperspectral image



PSF

$$J_c(x, y) = \int \Omega_c(\lambda) (I_\lambda * p_\lambda)(x, y) d\lambda$$

Convolution

# Optimization Problem

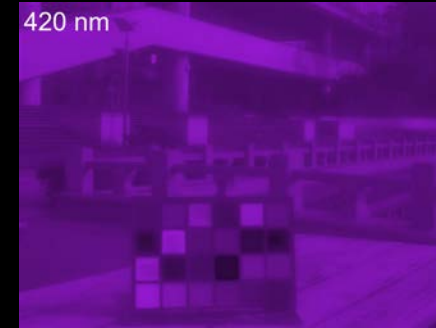


Input RGB image

420nm



Measurement matrix



Hyperspectral image

$$\hat{\mathbf{I}} = \arg \min_{\mathbf{I}} \underbrace{\|\mathbf{J} - \Phi \mathbf{I}\|_2^2}_{\text{Data term}} + \underbrace{R(\mathbf{I})}_{\text{Regularization term}}$$

Data term

Regularization term  
(not differentiable)

# Optimization Problem

- Using half-quadratic splitting (HQS)

$$(\hat{\mathbf{I}}, \hat{\mathbf{V}}) = \arg \min_{\mathbf{I}, \mathbf{V}} \left\| \mathbf{J} - \Phi \mathbf{I} \right\|_2^2 + \underbrace{\zeta \left\| \mathbf{V} - \mathbf{I} \right\|_2^2}_{\text{auxiliary variable}} + \underbrace{R(\mathbf{V})}_{\text{regularizer}}$$

- The equation can be split into **two subproblems**

*l*-th half-quadratic splitting iteration

$$\mathbf{I}^{(l+1)} = \arg \min_{\mathbf{I}} \left\| \mathbf{J} - \Phi \mathbf{I} \right\|_2^2 + \zeta \left\| \mathbf{V}^{(l)} - \mathbf{I} \right\|_2^2 \quad (1)$$

$$\mathbf{V}^{(l+1)} = \arg \min_{\mathbf{V}} \zeta \left\| \mathbf{V} - \mathbf{I}^{(l+1)} \right\|_2^2 + R(\mathbf{V}) \quad (2)$$

**I**



**J**



**Φ**



$\zeta$ : penalty parameter

# Iterative Optimization (Step 1)

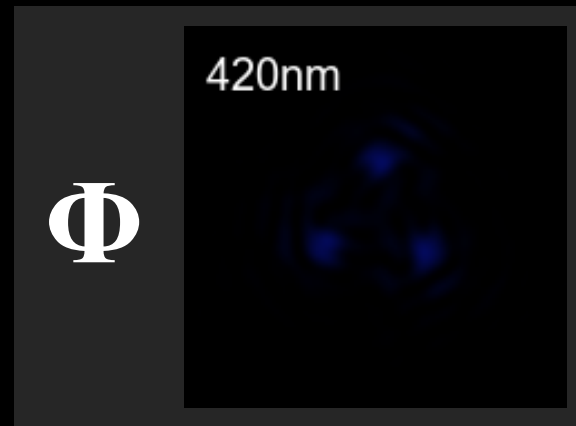
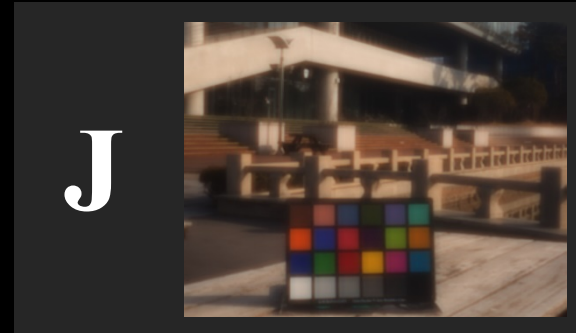
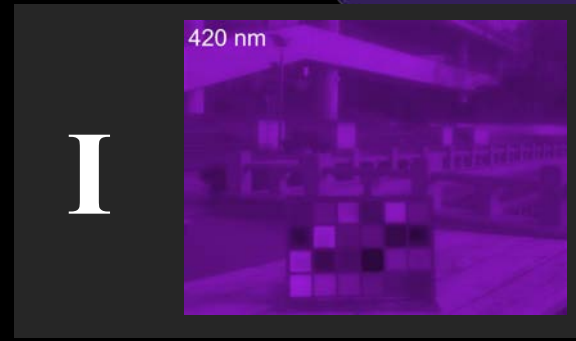
- The first subproblem

$$\mathbf{I}^{(l+1)} = \arg \min_{\mathbf{I}} \|\mathbf{J} - \Phi \mathbf{I}\|_2^2 + \zeta \|\mathbf{V}^{(l)} - \mathbf{I}\|_2^2 \quad (1)$$

- Solved by **gradient descent**

$$\mathbf{I}^{(l+1)} = \bar{\Phi} \mathbf{I}^{(l)} + \varepsilon \mathbf{I}^{(0)} + \varepsilon \zeta \mathbf{V}^{(l)}$$

where  $\bar{\Phi} = [(1 - \varepsilon \zeta) \mathbf{1} - \varepsilon \Phi^T \Phi]$  auxiliary variable



$\varepsilon$ : step size

# Iterative Optimization (Step 2)

- The second subproblem

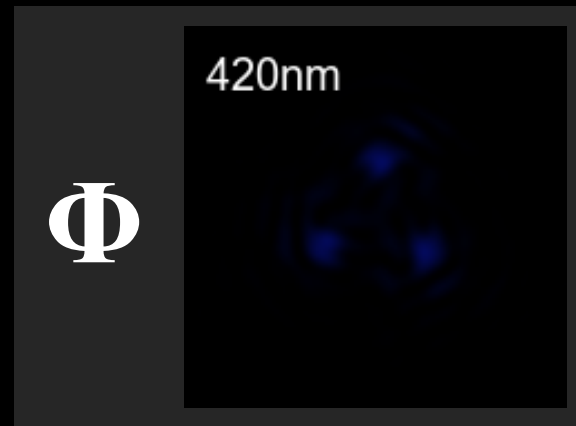
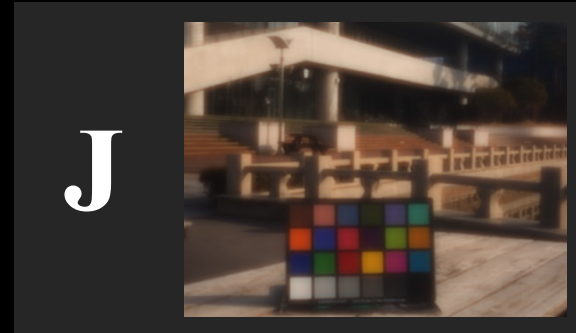
$$\boxed{\mathbf{V}^{(l+1)}} = \arg \min_{\mathbf{V}} \zeta \left\| \mathbf{V} - \mathbf{I}^{(l+1)} \right\|_2^2 + \underbrace{R(\mathbf{V})}_{\text{Unknown spectral prior}} \quad (2)$$

auxiliary variable

- reformulated as

$$\mathbf{V}^{(l+1)} = \mathcal{S} \left( \mathbf{I}^{(l+1)} \right)$$

- where  $\mathcal{S}()$  is a neural network function





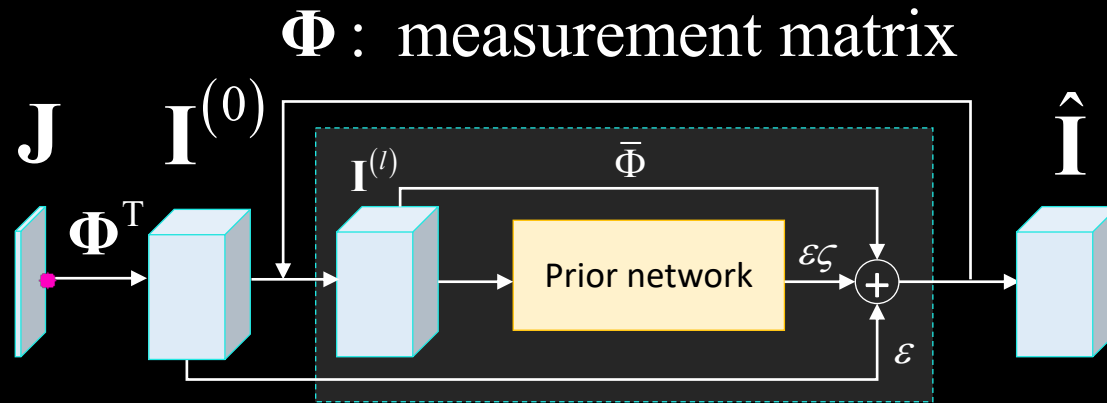
# Optimization-based Unrolled Network

$$\mathbf{I}^{(l+1)} = \bar{\Phi} \mathbf{I}^{(l)} + \varepsilon \mathbf{I}^{(0)} + \varepsilon \zeta \mathbf{V}^{(l)}$$

where  $\bar{\Phi} = [(1 - \varepsilon \zeta) \mathbf{1} - \varepsilon \Phi^T \Phi]$



Input RGB image



$L$  iterations

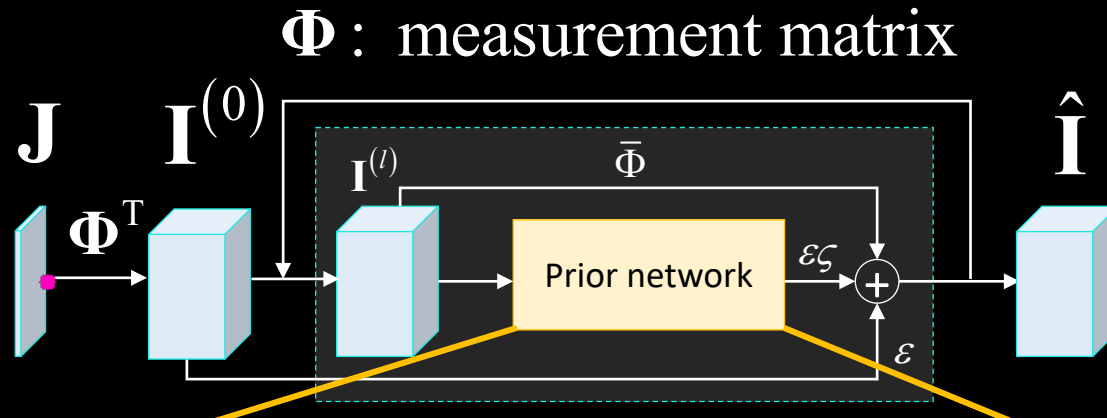
Reconstructed  
hyperspectral image





# U-net based Spatial-Spectral Prior Network

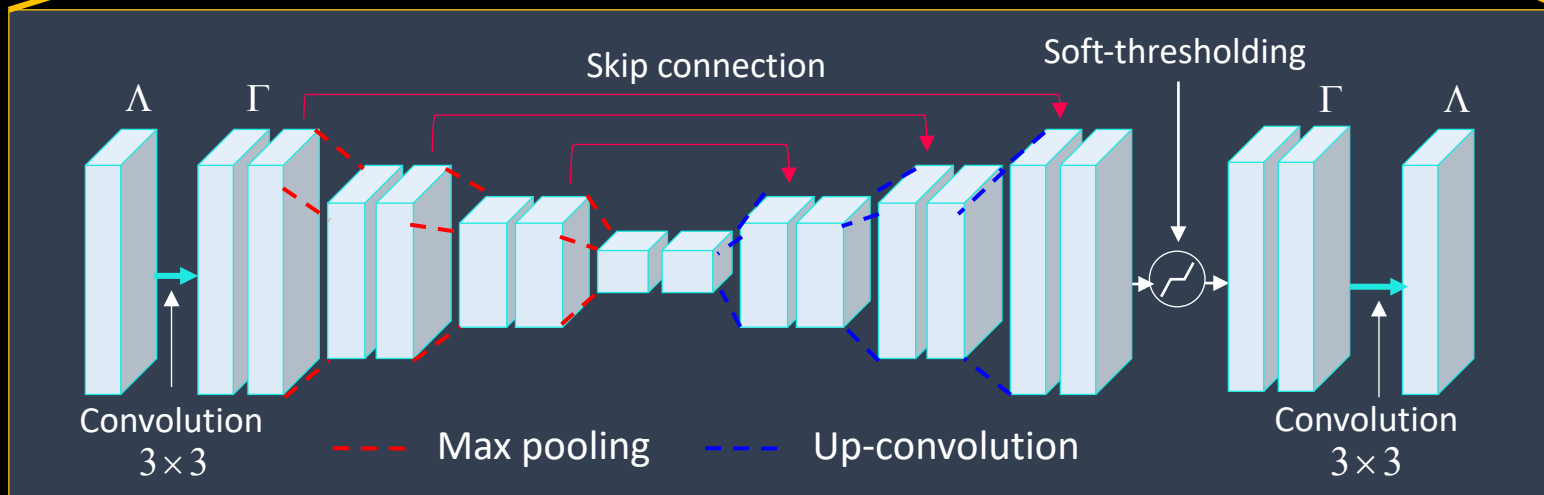
Input RGB image



Reconstructed hyperspectral image



$L$  iterations



$$\mathbf{V}^{(l)} = \mathcal{S}(\mathbf{I}^{(l)})$$

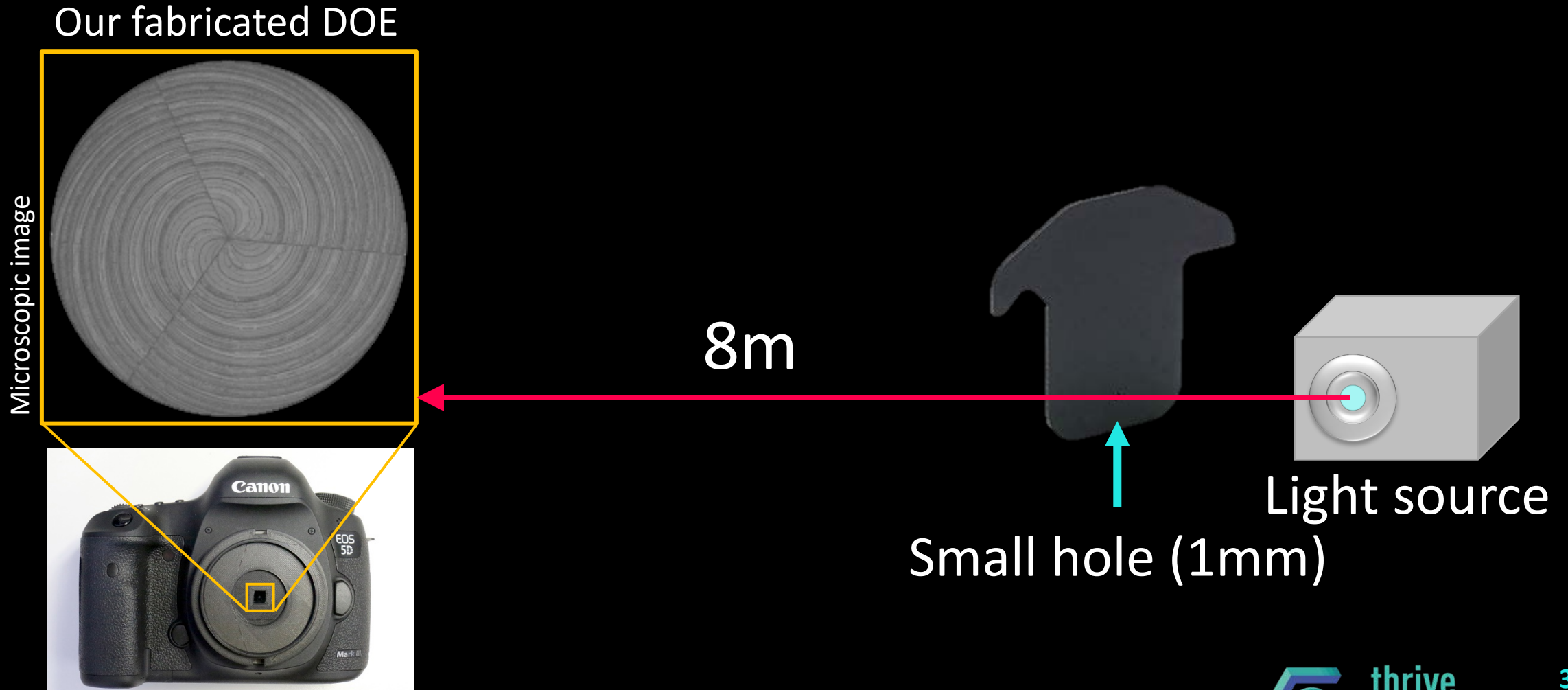
# Datasets



- Training dataset
  - Harvard dataset [Chakrabarti and Zickler 2011]
  - ICVL dataset [Arad and Ben-Shahar 2016]
  - KAIST dataset [Choi et al. 2017]
  - Augmentation: half/original/double resolution of 238 hyperspectral images (= 714 hyperspectral images in total)
  - 30,000 patches of size  $256 \times 256 \times 25$  in total
  - Gaussian noise with a standard deviation of 0.005
- Test dataset
  - 10 images extracted from the KAIST dataset beforehand



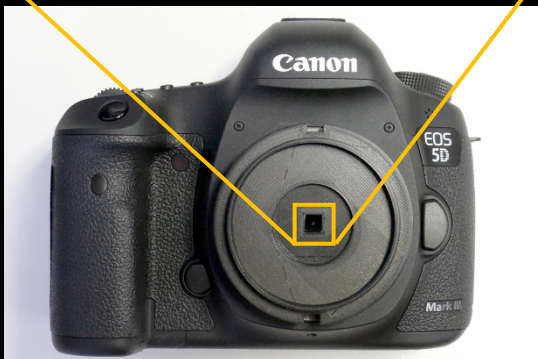
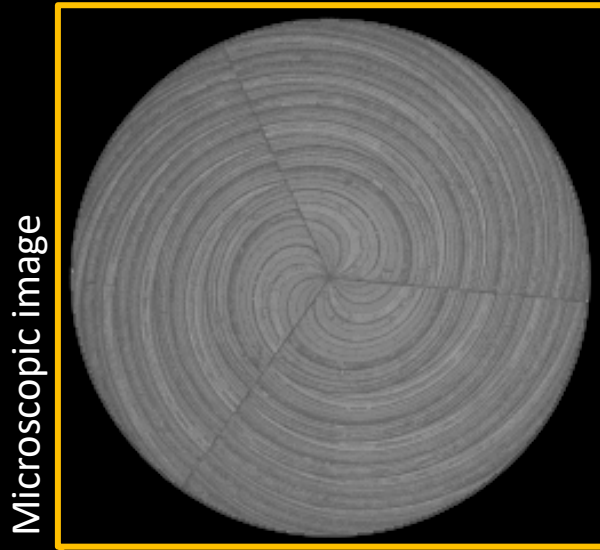
# Spectral Calibration of Real PSF



# Spectral Calibration of Real PSF



Our fabricated DOE

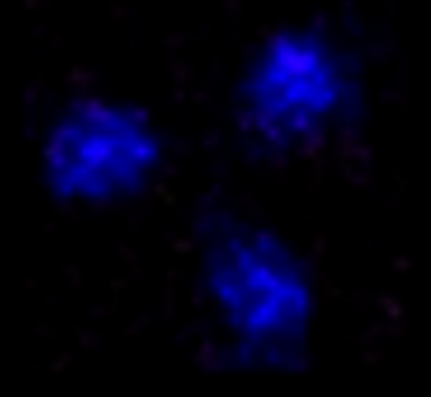


Synthetic PSFs



420nm

Measured PSFs



420nm



# Results

# Comparison with Other Imaging Systems



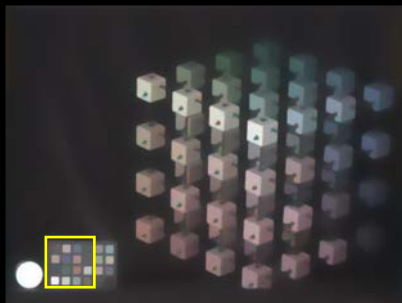
DD-CASSI



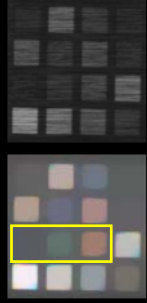
[Gehm et al. 2007]



(PSNR/SSIM/SAM)



26.71dB/0.78/0.29



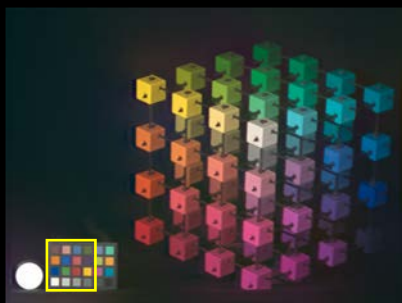
Prism



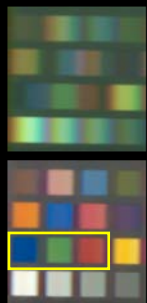
[Baek et al. 2017]



(PSNR/SSIM/SAM)



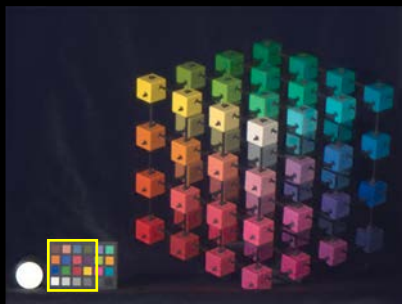
28.23dB/0.77/0.25



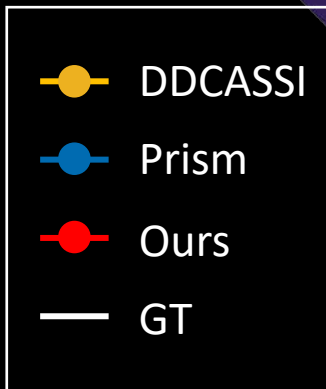
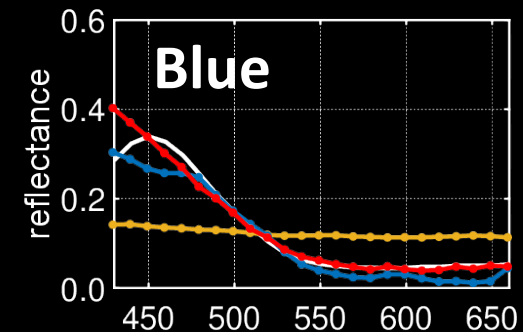
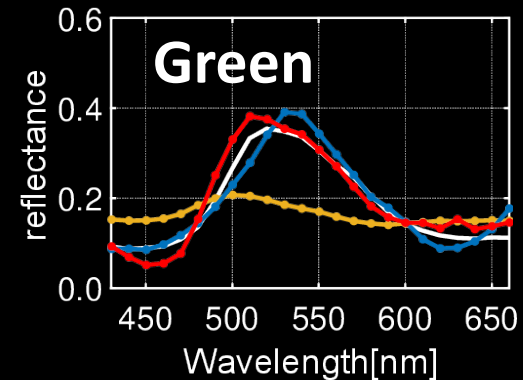
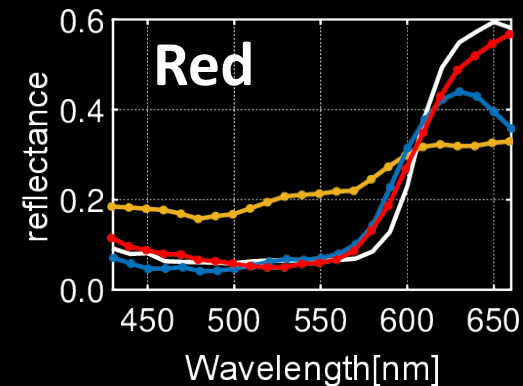
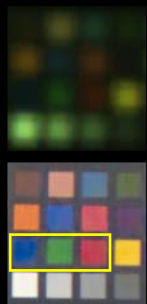
Ours



(PSNR/SSIM/SAM)



33.08dB/0.90/0.14



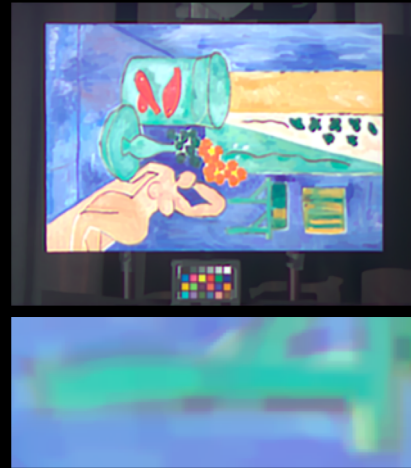
# Comparison with Other Recon. Algorithms



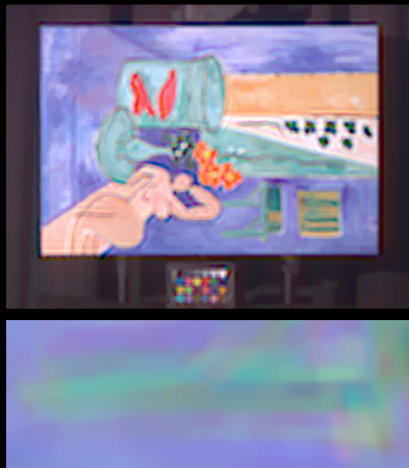
(sRGB visualization)



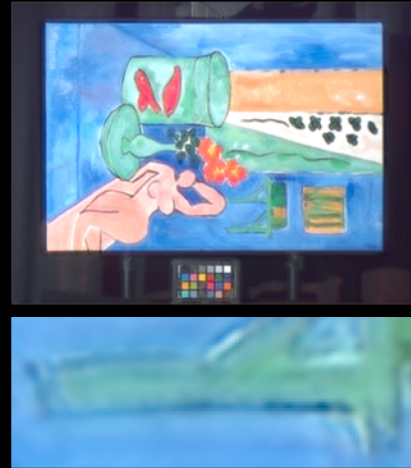
Ground truth  
(PSNR/SSIM/SAM)



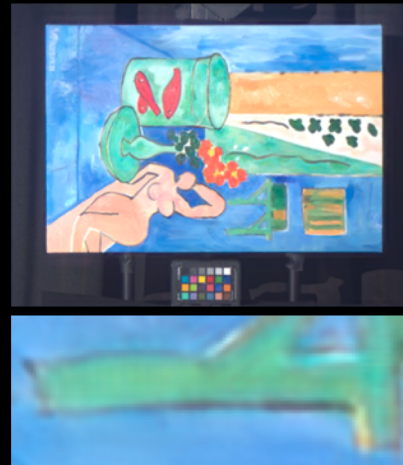
TVAL3  
(27.44dB/0.83/0.16)



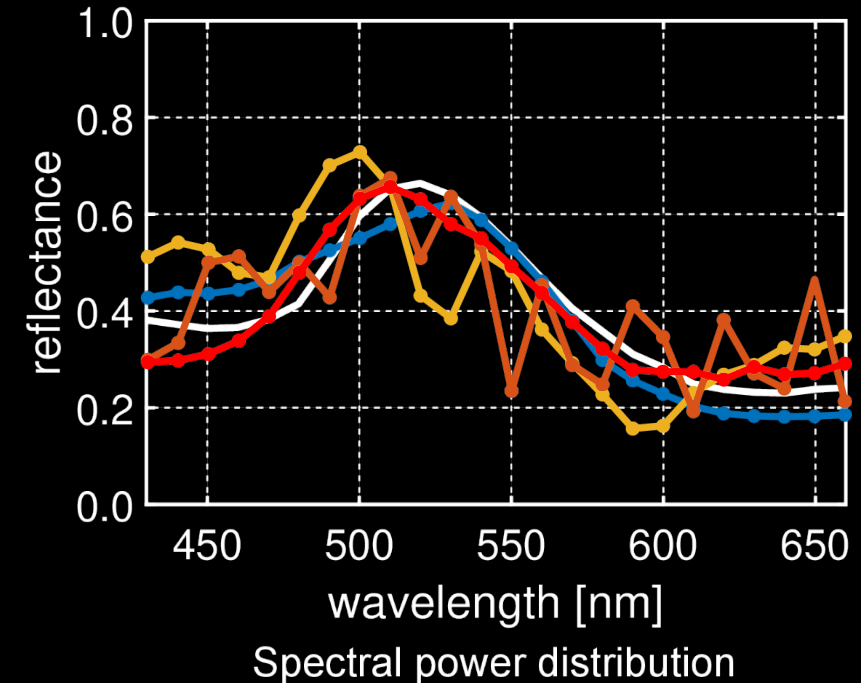
Autoencoder  
(23.38dB/0.62/0.26)



ISTA-NET  
(28.24dB/0.78/0.15)



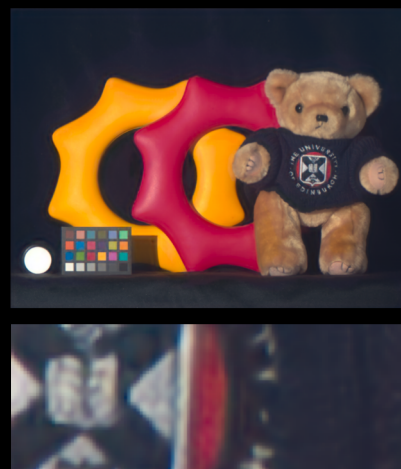
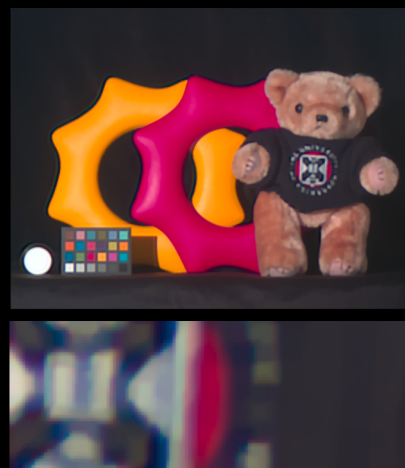
Ours  
(29.49dB/0.86/0.10)



# Comparison with Other Recon. Algorithms



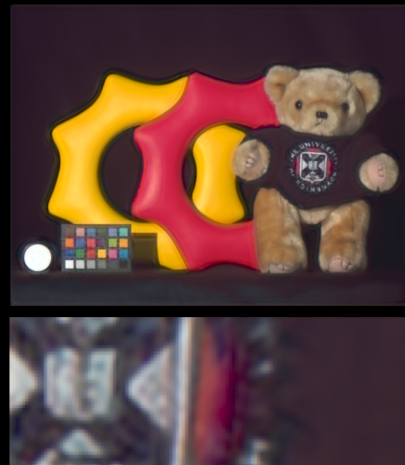
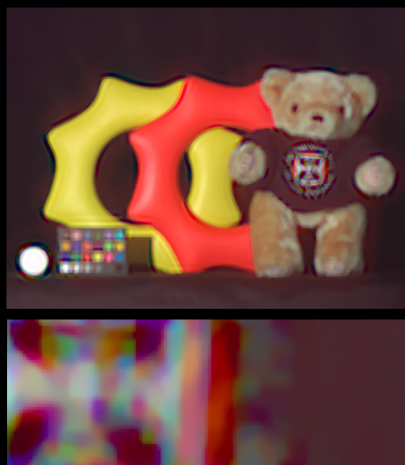
(sRGB visualization)



Ground truth  
(PSNR/SSIM/SAM)

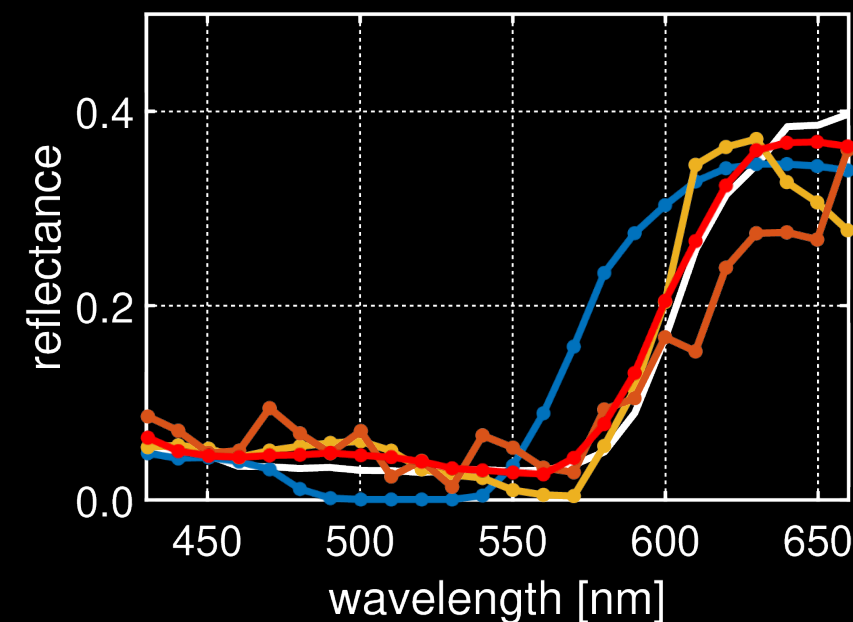
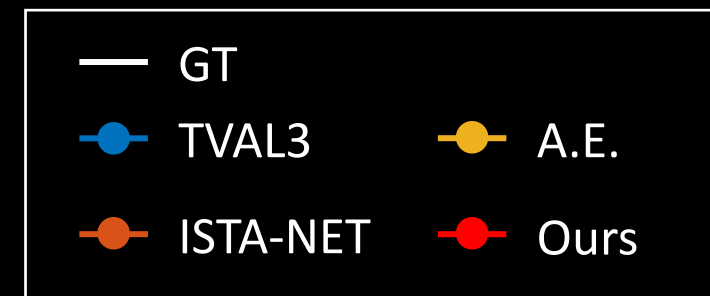
TVAL3  
(28.52dB/0.84/0.18)

Ours  
(33.93dB/0.92/0.11)



Autoencoder  
(23.42dB/0.75/0.24)

ISTA-NET  
(31.96dB/0.86/0.16)



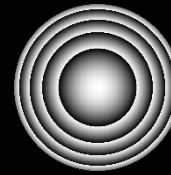
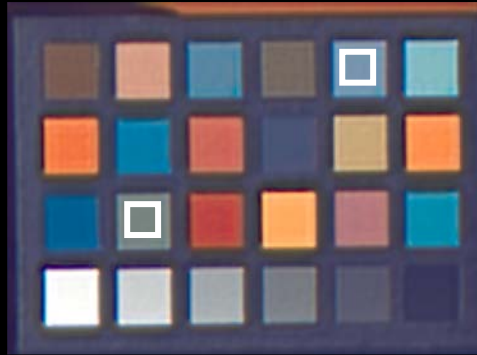
# Comparison with Fresnel Lens



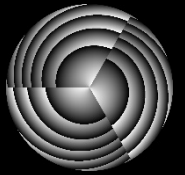
Ground truth (PSNR)



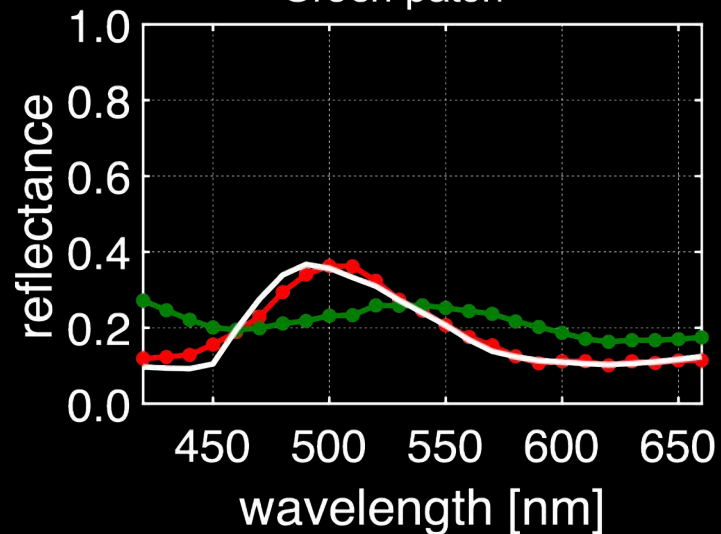
Fresnel (22.26dB)



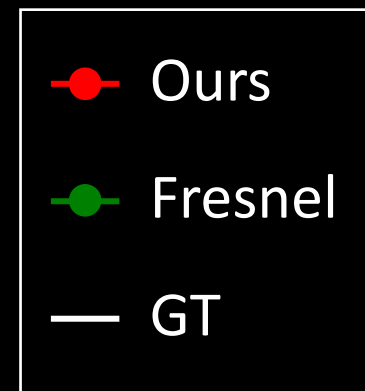
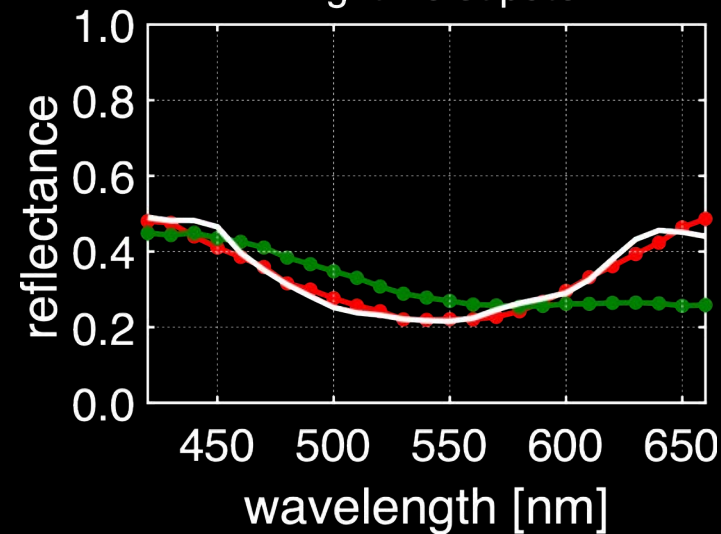
Ours (30.25dB)



Green patch



Light violet patch



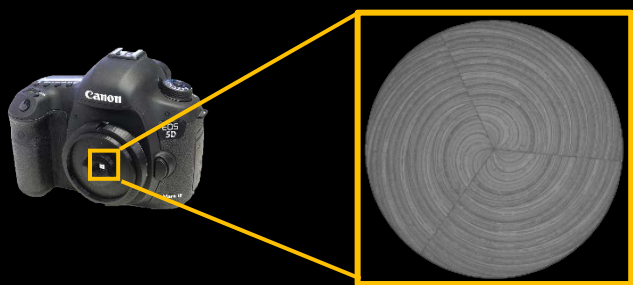
# Real Scene Results



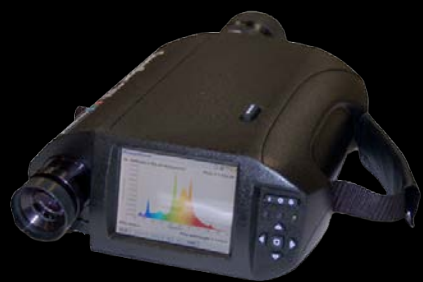
Input



Reconstructed spectral image

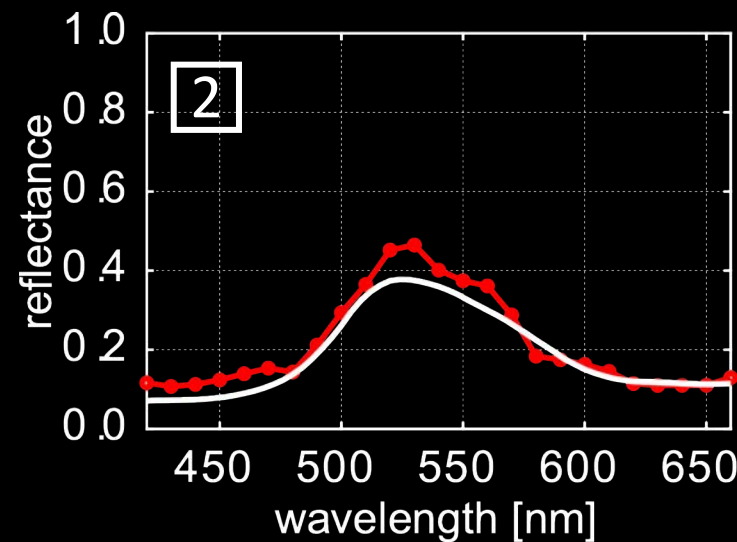
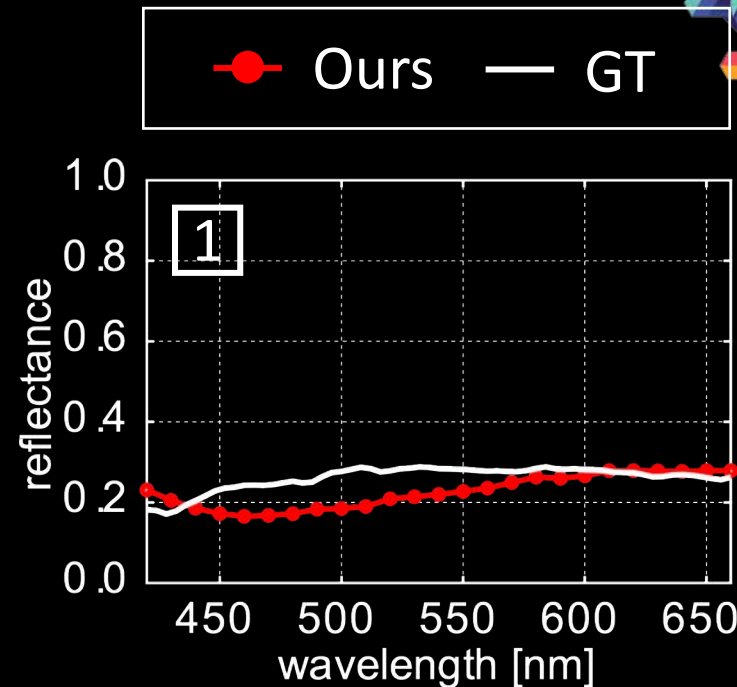


Our prototype



Spectroradiometer  
SpectralScan PR-655

Ground truth

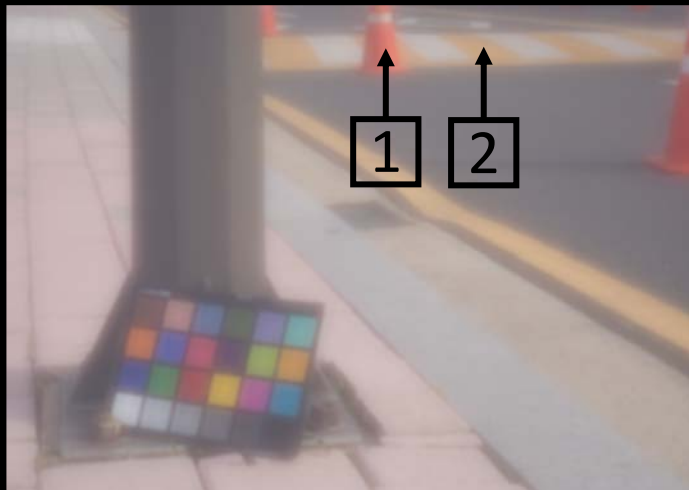




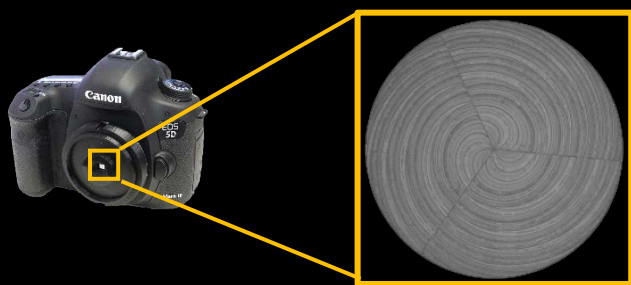
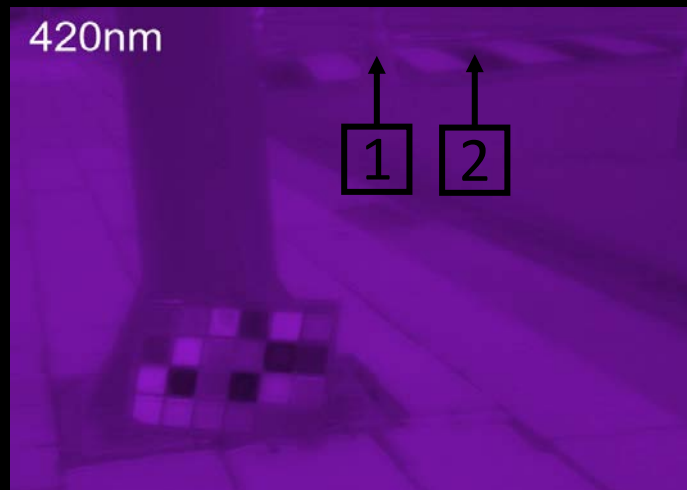
# Real Scene Results



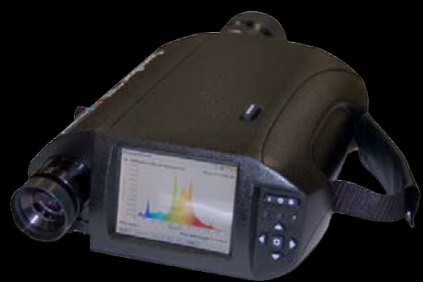
Input



Reconstructed spectral image

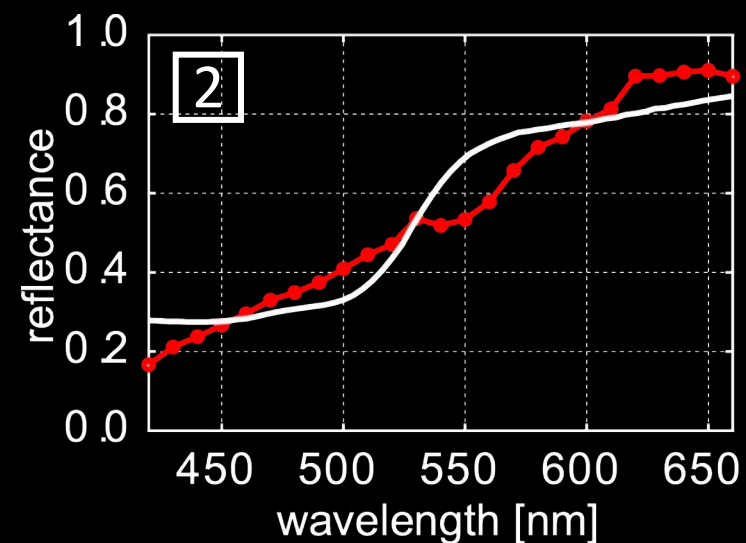
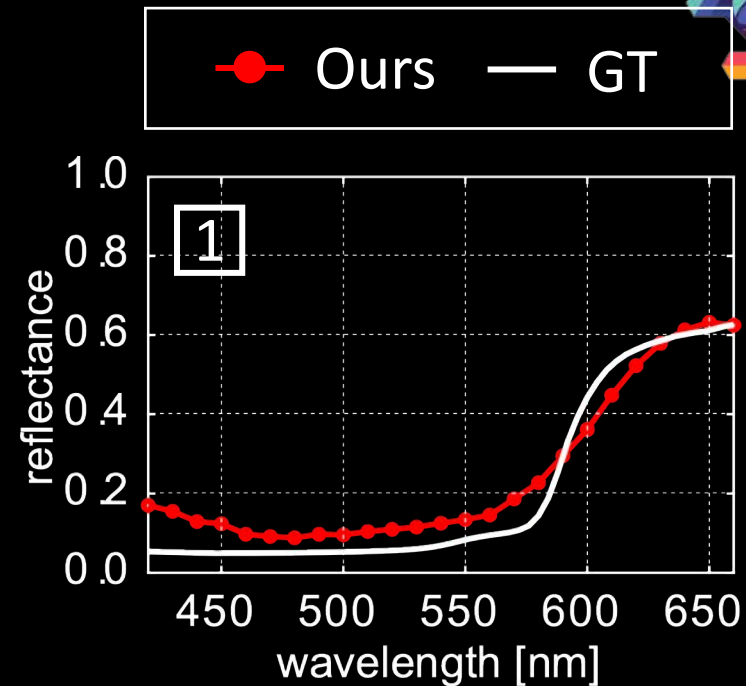


Our prototype



Spectroradiometer  
SpectralScan PR-655

Ground truth



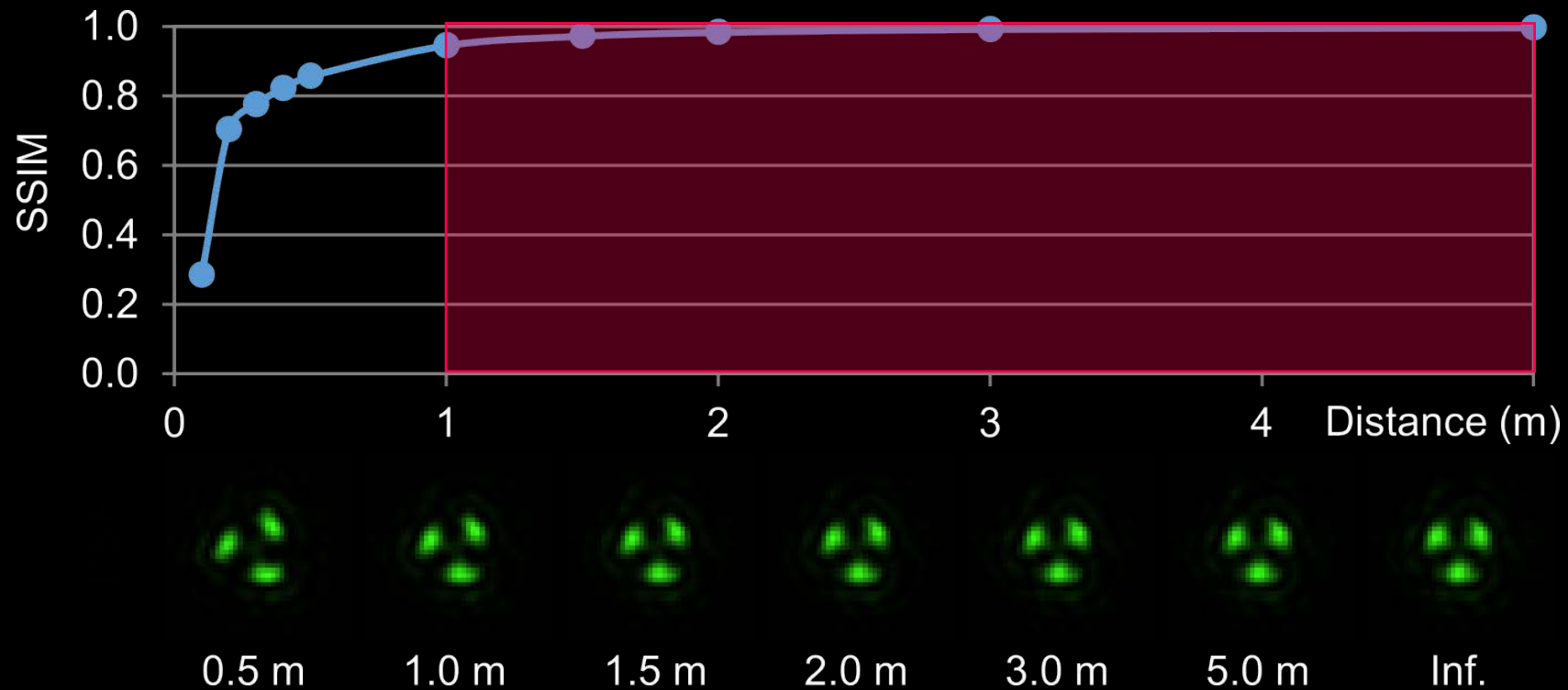
# Discussion: PSF Invariance



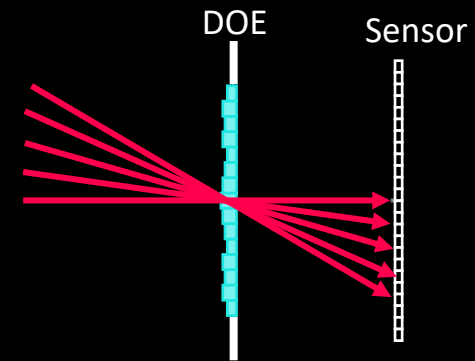
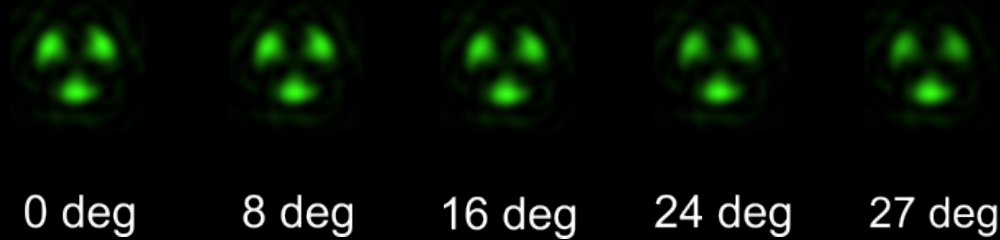
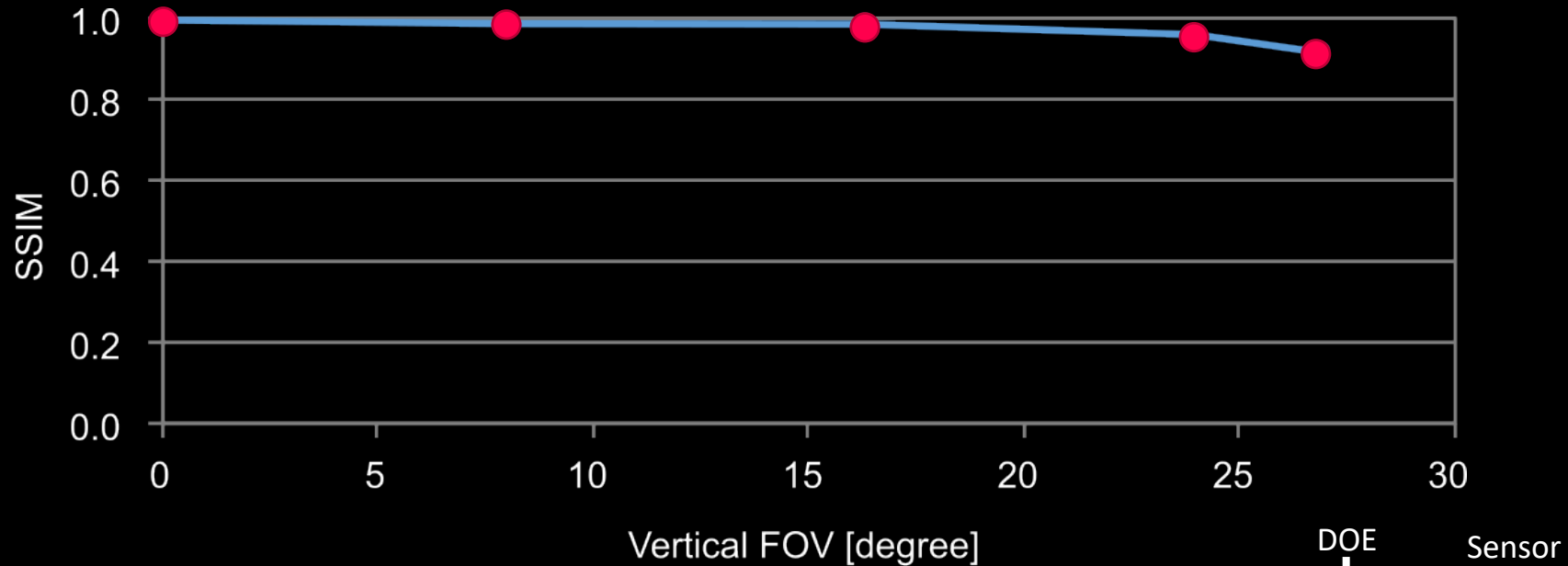
Depth invariance

Incident angle invariance

# Discussion: Depth Invariance



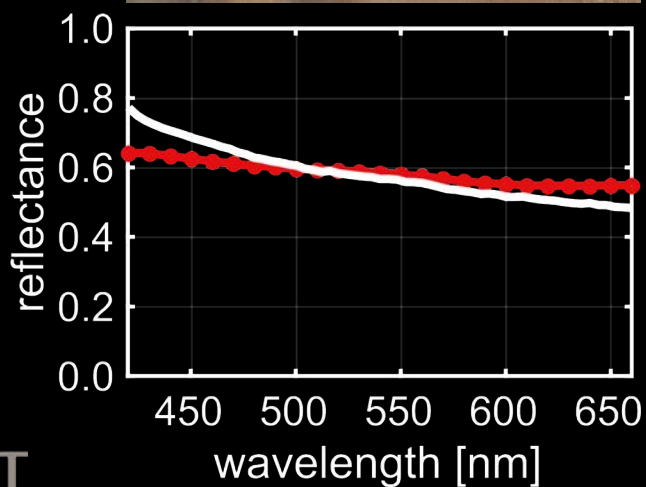
# Discussion: Incident Angle Invariance



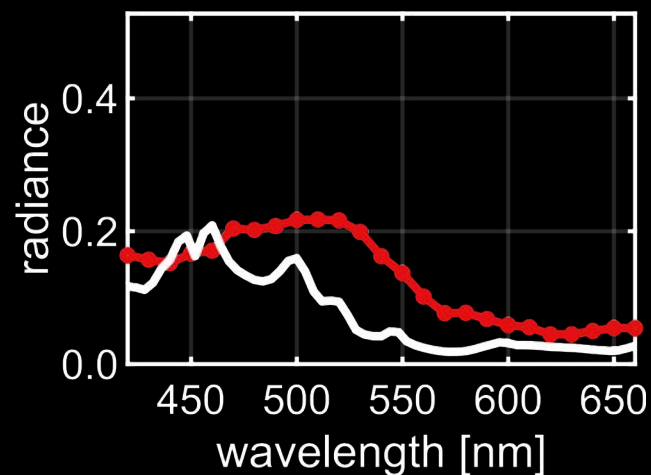
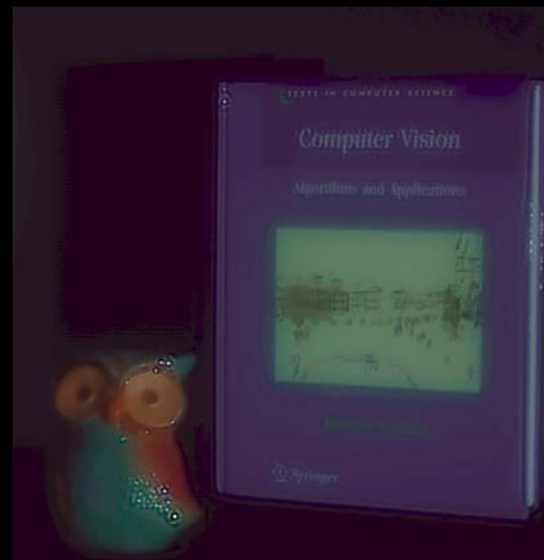
# Limitation



## Lack of edges



## High-frequency illumination



# Conclusion



- **First diffraction-based hyperspectral imaging** that consists of a **single optical element** and a bare sensor
  - **Diffraction imaging lens** to achieve both imaging and dispersion with a single DOE
  - **End-to-end hyperspectral reconstruction network** based on the unrolled architecture of an optimization procedure



# Thank you!

Project website:

<http://vclab.kaist.ac.kr/siggraph2019/>

